10 Data Structures

Learning Outcome

 Data Structures

 Understand the concept of static allocation of memory

 Understand the concept of dynamic allocation of memory

 Create, insert, and delete operations for stack and queue (linear and circular)

 Understand the concept of free space list (which could be another linked list or an array).

 Create, update (edit, insert, delete) and search operations for a linear linked list.

 Exclude: doubly-linked list and circular linked list

 Create, update (edit, insert, delete*) and search operations for a binary search tree.

 Exclude: deletion of nodes from binary search tree

 Understand pre-order, in-order and post-order tree traversals; and application of in-order tree traversal for binary search tree.

 Programming Elements and Constructs

 Understand the use of stacks in recursive programming

 Implementing Algorithms and Data Structures

Write programs to implement operations for stacks, queues (linear and circular), linear linked lists and binary search trees. *Exclude: doubly-linked list and circular linked list*

10.1 Overview of Collections

Collection is a group of items that we want to treat as conceptual unit. Collections can be homogeneous when all items in the collection must be of the same type, or heterogeneous when items can be of different types. For example, lists are heterogeneous in Python.

10.1.1 Linear Collections

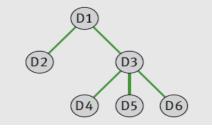
Ordered by position



Examples: Grocery lists, Stacks of dinner plates, A line of customers waiting at a bank

10.1.2 Hierarchical Collections

Structure reminiscent of an upside-down tree

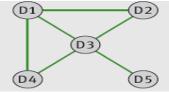


D3's **parent** is D1; its **children** are D4, D5, and D6

Examples: a file directory system, a company's organizational tree, a book's table of contents

10.1.3 Graph Collections

Each data item can have many predecessors and many successors



D3's **neighbors** are its predecessors and successors Examples: Maps of airline routes between cities; electrical wiring diagrams for buildings

10.1.4 Unordered Collections

Items are not in any particular order. One cannot meaningfully speak of an item's predecessor or successor



Example: Bag of marbles

10.1.5 Operations on Collections

- Traversal: This operation visits each item in a collection
- Search and retrieval: Search for a given target item or an item at a given position
- Insertion: Adds an item to a collection at a given position
- Removal: Deletes a given item or the item at a given position
- Determine the size: Determines the size of a collection the number of items it contains

10.1.6 Abstraction and Abstract Data Types

- To a user, a collection is an abstraction
- In Computer Science, collections are abstract data types (ADTs)
 - ADT users are concerned with learning its interface
 - Developers are concerned with implementing their behavior in the most efficient manner possible

"Data structure" and "**concrete data type**" refer to the internal representation of an ADT's data. The two data structures most often used to implement collections in most programming languages are **arrays** and **linked structures** which uses static and dynamic approaches in storing and accessing data in the computer's memory respectively.

10.2 Array

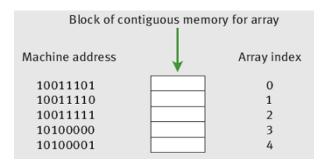
Array is the underlying data structure of a Python list, but it is more restrictive than Python lists. We have learnt and practiced enough for lists, but here let's have a recap on the concepts of array, and compare with linked structures.

10.2.1 Data Structure

An array represents a sequence of items of the same data type (homogeneous). Items can be accessed, retrieved, stored or replaced at given index positions.

Random Access and Contiguous Memory

Array indexing is a random access operation



Address of an item: base address + offset. Index operation has two steps:

- 1. Fetch the base address of the array's memory block
- 2. Return the result of adding the (index * k) to this address, where k is the number of memory cells required by an array item.

Static Memory

Arrays are static. The capacity or length of the array is determined at compile time, so need to specify the size with a constant.

Physical Size and Logical Size

- The physical size of an array is its total number of array cells
- The logical size of an array is the number of items currently in it
- To avoid reading garbage, must track both sizes



In general, the logical and physical size tell us important things about the state of the array:

- If the logical size is 0, the array is empty
- Otherwise, at any given time, the index of the last item in the array is the logical size minus 1.
- If the logical size equals the physical size, there is no more room for data in the array

10.2.2 Operations on Arrays

Indexing is the key tool in traversal, search and retrieval in an array. We now discuss the implementation of insertion and removal on arrays. In our examples, we assume the following data settings:

The array, A, has an initial logical size of 0 and a default physical size, or capacity, of 5.

0	
1	
T	
2	
2	
5 4	
4	

Inserting an Item into an Array

- Check for available space before attempting an insertion
- Shift items from logical end of array to target index position down by one
 To open hole for new item at target index
- Assign new item to target index position
- Increment logical size by one

Example: Insert item D5 at position 1 in an array of four items

	Shift down item at <i>n</i> -1	1	5	Shift dow item at n-2	n	:	Shift down item at <i>i</i>		eplace iter t position		Array after insertion is finished
0	D1		0	D1]	0	D1	0	D1	0	D1
1	D2		1	D2	1	1	D2	1	D2	1	D5
2	D3		2	D3	K	2	D3 K	2	D2	2	D2
3	D4		3	D4	K	3	D3	3	D3	3	D3
4		R	4	D4]	4	D4	4	D4	4	D4

Here is the pseudo-code for the insertion operation:

check for available space

```
IF (logicalSize = physicalSize):
```

```
OUTPUT "No room for insertion !!"
```

ELSE

shift items down by one position

FOR index \leftarrow logicalSize-1 TO targetIndex STEP -1 A[index+1] \leftarrow A[index]

ENDFOR

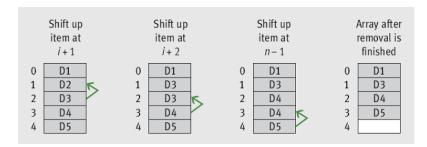
add new item and increment logical size A[targetIndex] ← newItem logicalSize ← logicalSize + 1

ENDIF

Removing an Item from an Array

- Shift items from next target index position to the logical end of the array up by one
 To close hole left by removed item at target index
- Decrement logical size by one

Example: Removal of an item at position 1 in an array of five items



Here is the pseudo-code for the removal operation:

decrement logical size logicalSize ← logicalSize - 1

10.3 Linked Structure

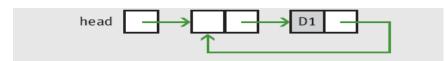
After arrays, linked structures are probably the most commonly used data structures in programs. Like an array, a linked structure is a concrete data type that is used to implement many types of collections, including lists.

A linked structure decouples logical sequence of items in the structure from any ordering in memory, i.e. **Noncontiguous/dynamic memory** representation scheme.

For your reference, though not in the syllabus, linked structures can be doubly linked,



or circular linked.



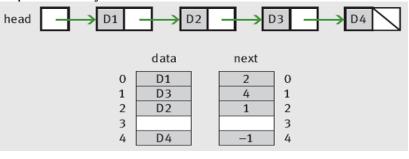
10.3.1 Node

The basic unit of representation in a linked structure is a **node**. A **singly linked node** contains a data item and a link to the next node.



You can set up nodes to use noncontiguous memory in several ways:

- Using **pointers** (a **null** or **nil** represents the empty link as a pointer value): Memory allocated from the **object heap**
- Using **references** to objects (e.g., Python)
 - In Python, **None** can mean an empty link
 - Automatic garbage collection frees programmer from managing the object heap
- Using two parallel arrays



Defining a Node Class

- Node classes are fairly simple
- Flexibility and ease of use are critical
- Node instance variables are usually referenced without method calls, and constructors allow the user to set a node's link(s) when the node is created

A node contains just a data item and a reference to the next node:

```
"""
File: node.py
Node class for one-way linked structures
"""
class Node:
    def __init__ (self, data, next = None):
        # Instantiates a Node with default next of None
        self.data = data
        self.next = next
```

Using the Node Class

To place the first node at the beginning of the linked structure that already contains node2 and node3:

node1.next = node3 raises AttributeError

Solution:

node1 = Node ("C", node3),
or
node1 = Node ("C", None)
node1.next = node3

To guard against exceptions:

Like arrays, linked structures are processed with loops.

```
import Node
from
     node
head =
        None
# Add five nodes to the beginning of the linked structure
for count
           in range (1, 6):
        head = Node (count, head)
# Print the contents of the structure
probe
      = head
                 # initialize temporary pointer variable
while
      probe != None:
        print (probe.data)
        probe
                  probe.next
               =
```

When the data are displayed, do they appear in the same order of their insertion?

10.3.2 Operations on Linked Structures

Indexes are an integral part of the array structure, hence almost all of the operations on arrays are index based. We shall emulate index-based operations on a linked structure by manipulating links within the structure.

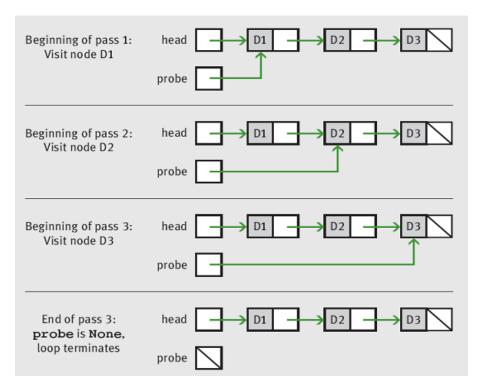
The traversal and searching operations are similar since both must traverse from the 'start' of the structure. The difference is whether to use index or link. The retrieval operation is straightforward for an array but more complicated for a linked structure since traversal is required. Similarly for insertion and removal of a particular item. However, no shifting of items will be required for a linked structure to perform insertion or removal.

We shall explore the implementation of these operations for various scenarios.

Traversal

In order to visit each node without deleting it, we can use a temporary pointer variable

None serves as a sentinel that stops the process



Searching

Resembles a traversal, but two possible sentinels:

- Empty link
- Data item that equals the target item

Unfortunately, accessing the *i*th item of a linked structure is also a sequential search operation. We start at the first node and count the number of links until the *i*th node is reached.

Since linked structures do not support random access, we can't use a binary search.

Replacement

Replacement operations employ traversal pattern

- If the target item is not present, no replacement occurs and the operation returns False
- If the target is present, the new item replaces it and the operation returns True.

Replacing the *i*th item assumes $1 \le i \le n$

Inserting at the Beginning

	head	=	Node	(newIt	em, head)
In	itial state o	fhead	1		head D1
h	ead = No	ode(n	newItem,	head)	head D2 D1

Inserting at the End:

must consider if the head pointer is None, i.e. the linked structure is empty

```
newNode = Node (newItem, None)

if head == None: # case 1: the head pointer is None
    head = newNode

else: # case 2: the head pointer is not None
    probe = head
    while probe.next != None:
        probe = probe.next
    probe.next = newNode
```

probe.next != None	head D1 D2 D3
(Advance probe to probe.next.)	probe
probe.next != None	head D1 D2 D3
(Advance probe to probe.next.)	probe
probe.next == None	head D1 D2 D3
(Stop the loop.)	probe
probe.next = newNode	newNode
(Hook in new node.)	head D1 D2 D3
	probe

Removing at the Beginning

Removing at the End

node to system heap.

probe.next != None	head $\rightarrow D1 \rightarrow D2 \rightarrow D3$
(Advance probe to probe.next.)	probe
probe.next.next == None	head D1 D2 D3
(Stop the loop.)	probe
probe.next = None	head D1 D2 D3
(Unhook the last node.)	probe
Garbage collection returns node to system heap.	head $\rightarrow D1 \rightarrow D2$ $D3$
	probe

Inserting at Any Position

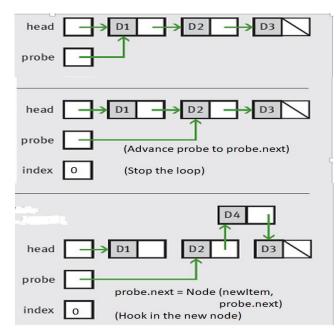
Insertion at beginning uses code presented earlier. In other position *i*, first find the node at position *i* - 1 (if 1 < i <= n) or the node at position *n* (if i = n + 1), where *n* is the number of nodes in the linked structure.

There are two cases to consider:

- 1. The node's next pointer is **None**. This means that i = n + 1, so the new item should be placed at the end of the linked structure.
- 2. The node's next pointer is not **None**. This means that 1 < i <= n, so the new item must be placed between the node at position *i* 1 and the node at position *i*.

```
#
     Assumes 1 <= i <= n + 1
if i == 1:
     head = Node (newItem, head)
else:
     # Search for node at position i - 1 or the last position
     probe
            = head
     for
         index in range(i - 2):
                    probe.next
          probe
                 =
     # Insert after node at position i - 1 or last position
                    Node (newItem, probe.next)
     probe.next
                 =
```

The following shows a trace of the insertion of an item at position 3 in a linked structure containing three items:



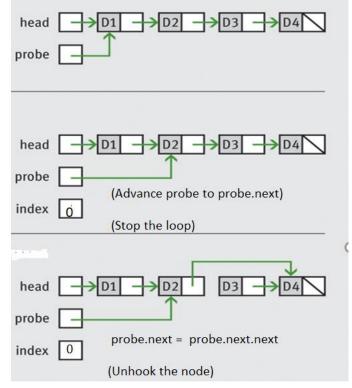
Removing at Any Position

The removal of the *i*th item from a linked structure has two cases:

- 1. i = 1. We use the code to remove the first item.
- 2. 1 < i <= n. We search for the node at position i 1, as in insertion, and remove the following node.

```
# Assumes that the linked structure has at least one item
# and 1 <= i <= n
if i == 1:
    removedItem = head.data
    head = head.next
    return removedItem
else:
     # Search for node at position i -1
          = head
    probe
    for index in range(i - 2):
         probe = probe.next
    removedItem = probe.next.data
    probe.next
               = probe.next.next
            removedItem
    return
```

The following shows a trace of the removal of the item at position 3 in a linked structure containing four items.



Tutorial 10A

- 1. For a linked list of integers referred to by **head**, write algorithms for the tasks below:
 - (a) determine the average of all the integers
 - (b) insert a node before the last node
 - (c) search for a given item and if found, return a pointer to the predecessor of the node containing that item

2. SPP1Q3

- (a) Describe, with the aid of a diagram, the data structure called a linked list. [4]
- (b) Describe, with the aid of diagrams, an algorithm to add a new data item into the linked list, so that this new data item occupies position n. You may assume that the linked list contains at least n 1 items before the addition.
 [6]
- (c) An alternative type of list structure is one whose data items are always held in a contiguous area of store (an array). Give **one** advantage and **one** disadvantage that this has over the linked list organization. [2]

3. N06P1Q8

A linked list Abstract Data Type (ADT) has the following operations defined:

- o create () -- creates an empty linked list;
- insert (item, p) -- insert new value, *item*, into linked list so that it is at position p in the linked list;
- \circ delete(p) -- delete the item at position *p* in the linked list;
- \circ read (p) -- returns the item at position p in the linked list;
- length () -- returns the number of items in the linked list;

The linked list is implemented by the use of a collection of nodes that have two parts: the item data and a pointer to the next item in the list. In addition there is a *Start* pointer which points to the first item in the list.

- (a) (i) Draw diagrams to show the two different situations that can arise when the 'delete' operation, specified above, is implemented.
 [4]
 - (ii) Write an algorithm that could be used to implement the 'delete' operation. [4]
 - (iii) Write an algorithm that could be used to implement the 'length' operation. [4]
- (b) A list, **testList**, is created and the following operations are carried out:

testList.insert (ben, 1) testList.insert (jerry, 1) testList.insert (harry, 1)

- (i) Draw a diagram to show the state of the linked list after the above operations have been carried out. [4]
- (ii) Write the instructions that would delete the last item of **testList** after further insert operations have been carried out. [2]

4. N84P2Q3

A program is to be written to find and print all the words in a piece of text in alphabetical order, together with the number of times each word occurs.

The data structure that has been chosen to hold this information is a linked list, with each node holding a word, the number of occurrences of that word, and a pointer to the node containing the next word in alphabetical order.

- (a) Draw diagrams to illustrate the above data structure
 - (i) before any words in a piece of text have been read,
 - (ii) after reading the following text: the man chased the cow the cow chased the dog the dog chased the cat

[9]

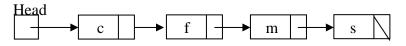
(b) Describe in detail an algorithm which reads a line of text, for example

they all chased the rat

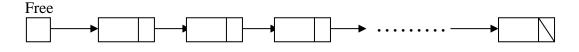
and updates the contents of the linked list. Assume an instruction is available which reads the next word from the line of text. [10]

5. N99P2Q9

Data are to be kept in order of a key in a linked list such as that shown.



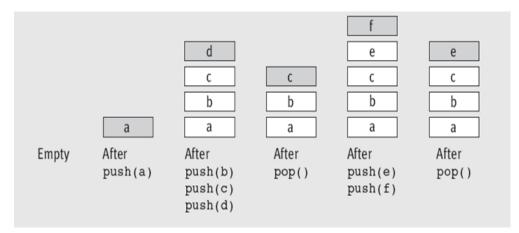
There is also a free space list.



- (a) Using pseudocode, write an algorithm which will add a new element to the list. You may assume that all the keys are unique. [10]
- (b) Outline how you would delete an element from the list given its key. [4]
- 6. J89P1Q3
 - (a) Describe, with the aid of diagrams, how names may be stored in alphabetical order in a linked list structure by using arrays. Use the names Rachel, Majid, Sian, Mary, Jonathan as example data. [4]
 - (b) Show how the free space can be managed so that items can be easily added to or deleted from the linked list. Use as examples
 - (i) adding the name Henry to the list;
 - (ii) deleting the name Majid from the list. [4]

10.4 Stack

LIFO (last-in-first-out) structure in which access is completely restricted to just one end, called the **top**. It has two basic operations: **push** and **pop**.



A stack type is not built into Python, though we can use a Python list to emulate a stack. For example, use list method **append** to push and **pop** to pop. However, the extra list operations violate the spirit of a stack as an ADT.

Instead, we define a more restricted interface or set of operations for any authentic stack implementation. We assume that any stack class that implements this interface will also have a constructor that allows its user to create a new stack instance. Later, we'll consider two different implementations: **ArrayStack** and **LinkedStack**. For now, assume that someone has coded these so we can use them:

10.4.1 Stack Interface

In addition to **push** and **pop**, a stack interface provides operations for examining the element at the top of a stack, determining the number of elements in a stack, and determining whether a stack is empty. A stack type also includes an operation that return a stack's string representation. These operations are listed below, where the variable **s** refers to a stack.

STACK METHOD	WHAT IT DOES
s.push(item)	Inserts item at the top of the stack.
s.pop()	Removes and returns the item at the top of the stack. <i>Precondition</i> : The stack must not be empty; raises an error if that is not the case.
s.peek()	Returns the item at the top of the stack. <i>Precondition</i> : The stack must not be empty; raises an error if that is not the case.
s.isEmpty()	Returns True if the stack is empty, or False otherwise.
slen()	Same as len(s) . Returns the number of items currently in the stack.
sstr()	Same as str(s) . Returns the string representation of the stack.

The following shows how the operations listed above affect a stack named **s**.

OPERATIO N	STATE OF THE STACK AFTER THE OPERATION	VALUE RETURNE D	COMMENT
			Initially, the stack is empty
s.push (a)	a		The stack contains the single item a .
s.push (b)	a b		b is the top item on the stack
s.push (c)	abc		c is the top item.
s.isEmpty()	a b c	False	The stack is not empty.
len (s)	a b c	3	The stack contains three items.
s.peek ()	abc	с	Returns the top item on the stack without removing it.
s.pop ()	a b	c	Remove the top item from the stack and return it. b is now the top item.
s.pop ()	a	b	Remove and return b .
s.pop()		a	Remove and return a .
s.isEmpty()		True	The stack is empty.
s.peek ()		exception	Peeking at an empty stack raises an exception.
s.pop ()		exception	Popping an empty stack raises an exception.
s.push (d)	d		d is the top item.

10.4.2 Stack Application: Matching Parentheses

Compilers need to determine if the bracketing symbols in expressions are balanced correctly

EXAMPLE EXPRESSION	STATUS	REASON
()()	Balanced	
()(Unbalanced	Missing a closing) at the end.
)()	Unbalanced	The closing) at the beginning has no matching opening (and one of the opening parentheses has no closing parenthesis.
[]	Balanced	
[(])	Unbalanced	The bracketed sections are not nested properly.

Here is an approach which scans the expression and keeps checking on the matching:

- Scan expression; push left brackets onto a stack
- On encountering a closing bracket, if stack is empty or if item on top of stack is not an opening bracket of the same type, we know the brackets do not balance
- Pop an item off the top of the stack and, if it is the right type, continue scanning the expression
- When we reach the end of the expression, stack should be empty; if not, brackets do not balance

Here is a Python script that implements this strategy for the two types of brackets mentioned. Assume that the module **stack** includes the class **ArrayStack**.

```
** ** **
File: brackets.py
Checks expressions for matching brackets
.....
from stack import ArrayStack
     bracketsBalance (exp):
def
    """exp represents the expression"""
    stk = ArrayStack()
                          # Create a new stack
    for ch in exp: # Scan across the expression
         if ch in ['[', '(']: # Push an opening bracket
              stk.push (ch)
         # Process a closing bracket
         elif ch in [']', ')']:
              if stk.isEmpty (): # Not balanced
                   return False
              chFromStack = stk.pop ()
              # Brackets must be of same type and match up
              if (ch == ']' and chFromStack != '[') or \setminus
                 (ch == ')' and chFromStack != '('):
                   return False
    return stk.isEmpty ()  # They all matched up
def main():
   exp = input ("Enter a bracketed expression: ")
   if bracketsBalance (exp):
         print ("OK")
   else:
         print ("Not OK")
main()
```

10.4.3 Stack Application: Evaluating Arithmetic Expressions

An arithmetic expression can be represented by two forms:

Infix form: each operator is located between its operands. e.g. A + B

Postfix form: an operator immediately follows its operands. e.g. A B +. This form is also called "Reverse Polish Notation".

INFIX FORM	POSTFIX FORM	VALUE
34	34	34
34 + 22	34 22 +	56
34 + 22 * 2	34 22 2 * +	78
34 * 22 + 2	34 22 * 2 +	750
(34 + 22) * 2	34 22 + 2 *	112

In both forms, operands appear in the same order. However, the operators do not.

- The infix form sometimes require parentheses; the postfix form never does.
- Infix evaluation involves rules of precedence; postfix evaluation applies operators as soon as they are encountered.
- For example:
 - Infix evaluation: 34 + 22 * 2 🛛 34 + 44 🗆 78
 - Postfix evaluation: 34 22 2 * + 0 34 44 + 0 78

To evaluate an infix expression:

- Step 1: Transform infix expressions to postfix
- Step 2: Evaluate the resulting postfix expressions

Converting Infix to Postfix

- Start with an empty postfix expression and an empty stack
 - Stack will hold operators and left parentheses
- Scan across infix expression from left to right
- On encountering an operand, append it to postfix expression
- On encountering a (, push it onto the stack
- On encountering an operator
 - Pop off the stack all operators with equal or higher precedence
 - Append them to postfix expression
 - Push scanned operator onto stack
- On encountering a), pop operators from stack to postfix expression until meeting matching (, which is discarded
- On encountering the end of the infix expression, pop remaining operators from the stack to the postfix expression

_

Example:
INFIX EXPRESSION:

INFIX EXPRESSION:		EQUIVALENT POSTFIX EXPRESSION:			
4 + 5 * 6 - 3		4 5 6 * + 3 -	·		
PORTION OFINFIXOPERATOREXPRESSIONSTACKSCANNED SO FAR		POSTFIX EXPRESSION	COMMENT		
			No characters have been seen yet. The stack and PE are empty.		
4		4	Append 4 to the PE.		
4 +	+	4	Push + onto the stack.		
4 + 5	+	4 5	Append 5 to the PE.		
4 + 5 *	+ *	4 5	Push * onto the stack.		
4 + 5 * 6	+ *	456	Append 6 to the PE.		
4 + 5 * 6 -	-	4 5 6 * +	Pop * and +, append them to the PE, and push		
4 + 5 * 6 - 3	-	4 5 6 * + 3	Append 3 to the PE.		
		4 5 6 * + 3 -	Pop the remaining operators off the stack and append them to the PE.		

Example with parentheses:

INFIX EXPRESSION:		EQUIVALENT POSTFIX EXPRESSION:		
(4 + 5) * (6 - 3)		4 5 + 6 3 - *		
PORTION OF INFIX EXPRESSION SCANNED SO FAR	OPERATOR STACK	POSTFIX EXPRESSION	COMMENT	
			No characters have been seen yet.	
			The stack and PE are empty.	
((Push (onto the stack.	
(4	(4	Append 4 to the PE.	
(4+	(+	4	Push + onto the stack.	
(4 + 5	(+	4 5	Append 5 to the PE.	
(4 + 5)		4 5 +	Pop the stack until (is encountered and append operators to the PE.	
(4 + 5)*	*	4 5 +	Push * on to the stack.	
(4 + 5)*(* (45+	Push (onto the stack.	
(4 + 5) * (6	* (4 5 + 6	Append 6 to the PE.	
(4 + 5) * (6 -	* (-	4 5 + 6	Push - onto the stack.	
(4 + 5) * (6 - 3)	* (-	4 5 + 6 3	Append 3 to the PE.	
(4+5)*(6-3)	*	4 5 + 6 3 -	Pop the stack until (is encountered and append operators to the PE.	
		4 5 + 6 3 - *	Pop the remaining operators off the stack and append them to the PE.	

Evaluating Postfix Expressions

- Steps:
 - Scan across the postfix expression from left to right
 - On encountering an operator, apply it to the two preceding operands; replace all three by the result
 - Continue scanning until you reach expression's end, at which point only the expression's value remains
- To express this procedure as a computer algorithm, you use a stack of operands
- In the algorithm, **token** refers to an operand or an operator:

Create a new stack While there are more tokens in the expression Get the next token If the token is an operand Push the operand onto the stack Else If the token is an operator Pop the top-two operands from the stack Apply the operator to the two operands just popped Push the resulting value onto the stack

EndIf

EndIf EndWhile Return the value at the top of the stack

Example:

POSTFIX EXPRESSION: 4	56 * + 3 -	RESULTING VALUE: 31
PORTION OF POSTFIX EXPRESSION SCANNED SO FAR	ESSION SCANNED OPERAND	
		No tokens have been seen yet. The stack is empty.
4	4	Push the operand 4.
4 5	4 5	Push the operand 5.
4 5 6	4 5 6	Push the operand 6 .
456*	4 30	Replace the top-two operands by their product.
4 5 6 * +	34	Replace the top-two operands by their sum.
4 5 6 * + 3	34 3	Push the operand 3 .
4 5 6 * + 3 -	31	Replace the top-two operands by their difference.
		Pop the final value.

10.4.4 Stack Application: Memory Management

- The computer's run-time system must keep track of various details that are invisible to the programmer:
 - Associating variables with data objects stored in memory so they can be located when these variables are referenced
 - Remembering the address of the instruction in which a method or function is called, so control can return to the next instruction when that function or method finishes execution
 - Allocating memory for a function's or a method's arguments and temporary variables, which exist only during the execution of that function or method
- Whenever a subroutine (function or method) is called (i.e. is activated), an activation record (or stack frame) is created to store the current environment for that function. Its contents include *parameters*; *local/temporary variables*; *return address* and *return value*.
- What kind of data structure should be used to store these activation records so that they can be recovered and the system reset when the function resumes execution?
 - Problem: FunctionA can call FunctionB FunctionB can call FunctionC
 - When a function calls another function, it interrupts its own execution and needs to be able to resume its execution in the same state it was in when it was interrupted.

When *FunctionC* finishes, control should return to *FunctionB*. When *FunctionB* finishes, control should return to *FunctionA*.

So, the order of returns from a function is the *reverse* of function invocations; that is, LIFO behavior.

- Therefore, use a stack to store the activation records. Since it is manipulated at *run-time*, it is called the run-time stack.

What happens when a function is called ?

- **1.** Push a copy of its activation record onto the run-time stack
- 2. Copy its arguments into the parameter spaces
- **3.** Transfer control to the starting address of the body of the function

Thus, the top activation record in the run-time stack is *always* that of the function currently being executed.

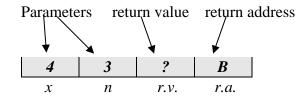
- What happens when a function terminates?
 - **1.** Pop the activation record of terminated function from the run-time stack
 - 2. Use new top activation record to restore the environment of the interrupted function and resume execution of the interrupted function.

Let's look at this example of the recursive function for calculating powers.

```
def Power (x, n):
    # Power() calculates x to the nth power recursively
    if n == 0:
        return 1
    else:
        return Power (x, n-1) * x # A

def main():
    print (Power (4, 3)) # B
main()
```

- Here we have indicated the return addresses as A and B, that is, the locations of the instructions where execution is to resume when the program or function is reactivated.
- When execution of the main program is initialised, its activation record is created. This
 record is used to store the values of variables, actual parameters, return addresses, and so
 on during the time the program is active.
- When execution of the main program is interrupted by the function call *Power* (4, 3), the parameters 4 and 3 and the return address **B** (plus other items of information) are stored in the activation record, and this record is pushed onto a stack.



The function *Power()* now becomes active, and an activation record is created for it.

– When the statement

return Power (x, n-1) * x

is encountered, the execution of *Power* () is interrupted. The actual parameter 4 and 2 for this function call with parameter x = 4 and n-1 = 3-1 = 2 and the return address A (and other items of information) are stored in the current activation record, and this record is pushed onto the stack of activation records.

Second reference to42?APower (
$$x = 4, n = 2$$
):43?B x n $r.v.$ $r.a.$

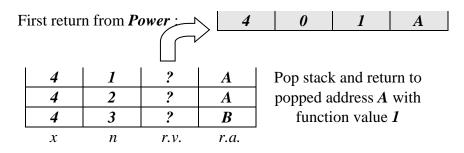
Since this is a new call to *Power* (), another activation record is created, and when this function call is interrupted by the call *Power* (4, 1), this activation record is pushed onto the stack:

	4	1	?	A
Third reference to	4	2	?	A
<i>Power</i> $(x = 4, n = 1)$:	4	3	?	B
	x	n	<i>r</i> . <i>v</i> .	r.a.

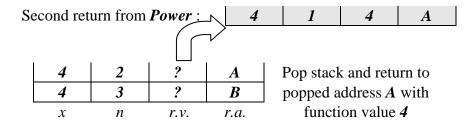
The call *Power* (4, 1) results in the creation of yet another activation record, and when its execution is interrupted, this time by the call *Power* (4, 0), this activation record is pushed onto the stack:

	4	0	?	A
Fourth reference to	4	1	?	A
<i>Power</i> $(x = 4, n = 0)$:	4	2	?	A
	4	3	?	В
	x	п	<i>r.v</i> .	<i>r.a</i> .

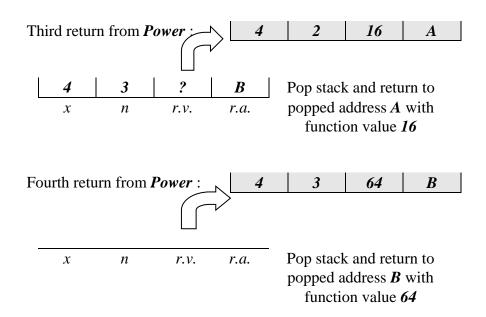
Execution of *Power* () with parameters 4 and 0 terminates with no interruptions and calculates the value 1 for *Power* (4, 0). The activation record for this call is then popped from the stack, and the execution resumes at the statement specified by the return address in it:



Execution of the preceding call to **Power** () with parameters 4 and 1 then resumes and terminates without interruption, so that its activation record is popped from the stack, the value 4 is returned, and the previous call with parameters 4 and 2 is reactivated at statement A:



- This process continues until the value 64 is computed for the original call **Power** (4, 3), and execution of the main program is resumed at the statement specified by the return address **B** in its activation record.



10.4.5 Stack Implementation using Array

```
Test Driver
```

```
def main():
   # Test either implementation with same code
   s = ArrayStack()
   #s = LinkedStack()
   print ("Length:", len(s))
   print ("Empty:", s.isEmpty())
   print ("Push 1-10")
   for i in range(10):
        s.push(i + 1)
   print ("Peeking:", s.peek())
   print ("Items (bottom to top):", s)
   print ("Length:", len(s))
   print ("Empty:", s.isEmpty())
   print ("Push 11")
   s.push(11)
   print ("Popping items (top to bottom):", end = ' ')
   while not s.isEmpty(): print (s.pop(), end = ' ')
   print ("\nLength:", len(s))
   print ("Empty:", s.isEmpty())
```

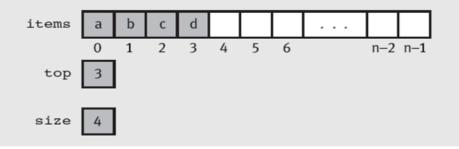
Output:

```
Length: 0
Empty: True
Push 1-10
Peeking: 10
Items (bottom to top): 1 2 3 4 5 6 7 8 9 10
Length: 10
Empty: False
Push 11
Popping items (top to bottom): 11 10 9 8 7 6 5 4 3 2 1
Length: 0
Empty: True
```

Note that the items in the stack print from bottom to top in the stack's string representation, whereas when they are popped, they print from top to bottom

Array Implementation

- Built around an array called items and two integers called top and size
- Initially, the array has a default capacity of **n** positions, **top** equals **-1**, and **size** equals **0**



- To **push** an item onto the stack, you increment the **top** and **size** and store the item at the location **items[top]**.
 - **size** always equals the number of items currently in the stack, whereas **top** refers to the position of the topmost item in a nonempty stack.
 - An attempt to add an item to a full stack causes an error message. (stack overflow !!)
- To **pop** the stack, you return **items[top]** and decrement **top** and **size**.
 - An attempt to delete an item from an empty stack causes an error message. (stack underflow !!)

The array-based stack implementation makes use of the Array class. Here is the code:

```
class ArrayStack:
    """ Array-based stack implementation."""
    DEFAULT CAPACITY = 12
    def init (self):
        self. items = [''] * ArrayStack.DEFAULT CAPACITY
        self. top = -1
        self. size = 0
    def push (self, newItem):
        """Inserts newItem at top of stack.
        Precondition: the stack is not full."""
        if self. size == ArrayStack.DEFAULT CAPACITY:
            print ("Stack is full. Abort operation!!")
            return ""
        else:
            # newItem goes at logical end of array
            self. top += 1
            self. size += 1
            self. items[self. top] = newItem
    def pop(self):
        """Removes and returns the item at top of the stack.
        Precondition: the stack is not empty."""
        if self.isEmpty():
            print ("Stack is empty. Abort operation!!")
            return ""
        else:
            oldItem = self. items[self. top]
            self. top -= 1
            self. size -= 1
            return oldItem
    def peek(self):
        """Returns the item at top of the stack.
        Precondition: the stack is not empty."""
        if self.isEmpty():
            print ("Stack is empty. Abort operation!!")
            return ""
        else:
            return self. items[self. top]
          len (self):
    def
        ""Returns the number of items in the stack."""
        return self. size
```

```
def isEmpty(self):
    return len(self) == 0

def __str__(self):
    """Items strung from bottom to top."""
    result = ""
    for i in range(len(self)):
        result += str(self._items[i]) + " "
    return result
```

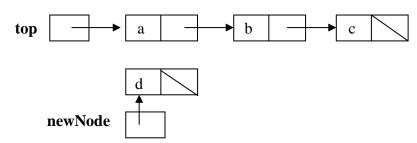
10.4.6 Stack Implementation using Linked Structure

• Uses a singly linked sequence of nodes with a variable **top** pointing at the list's head, and a variable **size** to track the number of items on the stack.

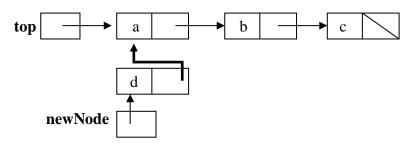


- The linked implementation requires two classes: LinkedStack and Node.
- The **Node** class contains two fields:
 - **data** : an item on the stack
 - **next** : a pointer to the next node
- **Pushing** and **popping** are accomplished by adding and removing nodes at the head of the list.
- **Pushing** an item onto a linked stack

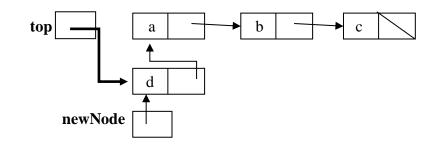
Step 1: Get a new node



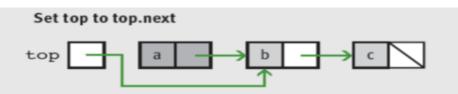
Step 2: Set newNode.next to top



Step 3: Set **top** to new node



• **Popping** an item from a linked stack



- The implementation of **str** is complicated by the fact that the items must be visited from the end of the linked structure to its beginning
 - Solution: use recursion
- Here is the code for **LinkedStack**:

```
from node import Node
class LinkedStack:
    """ Link-based stack implementation."""
   def init (self):
        self. top = None
        self. size = 0
   def push(self, newItem):
        """Inserts newItem at top of stack."""
        self. top = Node(newItem, self. top)
        self. size += 1
   def pop(self):
        """Removes and returns the item at top of the stack.
        Precondition: the stack is not empty."""
       if self.isEmpty(): # or if self. top == None:
           print ("Stack is empty. Abort operation!!")
            return ""
        else:
            oldItem = self. top.data
            self. top = self. top.next
            self. size -= 1
            return oldItem
```

```
def peek(self):
    """Returns the item at top of the stack.
    Precondition: the stack is not empty."""
    if self.isEmpty(): # or if self. top == None:
       print ("Stack is empty. Abort operation!!")
       return ""
    else:
       return self. top.data
     len (self):
def
    ""Returns the number of items in the stack."""
    return self. size
def isEmpty(self):
   return len(self) == 0
     __str__(self):
def
    """Items strung from bottom to top."""
    # Helper builds string from end to beginning
    def strHelper(probe):
        if probe == None:
            return ""
        else:
            return strHelper(probe.next) + \
                     str(probe.data) + " "
    return strHelper(self._top)
```

Tutorial 10B

- 1. Write a program that uses a stack to test input strings to determine whether they are palindromes. A palindrome is a sequence of words that reads the same as the sequence in reverse: for example, the word *madam* or the sentence *rats live on no evil star*.
- 2. Write a function

def selectItem (s, n):

that uses stack operations to find the first occurrence of integer n on stack s and move it to the top of the stack. Maintain ordering for all other elements.

3. **Application: Multibase Output** Write a function

```
def multiBaseOutput (num, b):
```

that takes a non-negative integer *num* and a base b in the range 2-9 and write *num* to the screen as a base b number.

4. Convert the following infix expressions to postfix (Reverse Polish Notation) expressions:

a. a + b / c - db. a + b / ((c - d) * e) - fc. (a + b) / c - d + ed. $(b \wedge 2 - 4 * a * c) / (2 * a)$

5. Evaluate the following postfix (Reverse Polish Notation) expressions:

a. $24 \ 2 \ 4 \ * \ /$ b. $33 \ 6 \ + \ 12 \ 4 \ / \ +$ c. $32 \ 5 \ 3 \ + \ / \ 5 \ *$ d. $2 \ 17 \ - \ 5 \ / \ 3 \ *$

6. Explain what is meant by a recursive routine in a program and how a stack may be used in its implementation. To illustrate your answer, show what happens for the function call fib(3) where the function fib has a single non-negative integer parameter n and has the value *fibval* given by

if
$$n < 2$$
 then fibval = n
else fibval = fib(n-1) + fib(n-2)

Show the order in which the calls to the function are made, the order in which the returns are made, and the data that are stacked at each call. Use diagrams wherever possible in your answer. [17]

- 7. (a) If a subprogram is to be able to call itself recursively, it is usual for the values of any variables used in the subprogram to be held in a stack rather than in fixed storage. Why is this?
 - (b) The following program includes a procedure which calls itself

- (i) What numbers will the program print, and in what order?
- (ii) Show all the values of *X* held on the stack each time a *PRINT* statement is executed. [6]

- 8. A stack is to be used in a high-level language program as a store for up to twelve items. The structure set up for this purpose consists of an array **STACK**, with elements **STACK[1]**, **STACK[2]**, , **STACK[12]** and a single integer variable **SP**. Initially **SP** is given the value zero to indicate an empty stack.
 - (a) Draw a diagram to illustrate the structure after the items ANT, BEE, COW,
 DOG, EEL have been stored in the stack, in that order. [2]
 - (b) Describe carefully the algorithms which would need to be implemented in the program
 - (i) to add a new item to the stack,
 - (ii) to remove an item from the stack

Your algorithms should allow for exceptional cases. [6]

9. An Abstract Data Type (ADT) consists of both data type and associated operations.

A linked list ADT has the following operations defined:

- (i) Create (x) -- creates an empty linked list *x*;
- (ii) Insert (x, item, p) -- insert new value, *item*, into linked list x so that it is at position p in the linked list;
- (iii) Delete (x, p) -- delete the item at position p in the linked list x;
- (iv) Read (x, p) -- returns the item at position p in the linked list x;
- (v) Length (x) -- returns the number of items in the linked list x;
- (vi) IsEmptyList (x) -- returns true if linked list x is empty.

The linked list is implemented by the use of a collection of nodes that have two parts: the item data and a pointer to the next item in the list. In addition, there is a *Start* pointer which points to the first item in the list.

- (a) (i) Draw diagrams to show the **two** different situations that can arise when the "Insert" operation specified above is implemented. [4]
 - (ii) Write an algorithm that could be used to implement the "Insert" operation. [4]
- (b) Show how to implement the following operations for a stack ADT using the list ADT operations:
 - (i) create new stack;
 - (ii) add item on top of stack;
 - (iii) delete item from top of stack. [5]
- (c) A dictionary ADT is used to store a key value and a definition of that key value. Specify three operations for a dictionary ADT. [6]
- (d) State **two** advantages of using ADTs in program development. [2]

10.5 Queue

Like stacks, queues are linear collections with the following features:

- Insertions are restricted to one end, called the **rear**
- Removals are restricted to one end, called the **front**
- Queues supports a first-in first-out (FIFO) protocol
 - E.g. Checkout lines in stores, and airport baggage check-in lines
- Two fundamental operations:
 - enqueue : adds an item to the rear of a queue
 - **dequeue :** removes an item from the front

The following figure shows a queue as it might appear at various stages in its lifetime. In the figure, the queue's front is on the left, and its rear is on the right.

After enqueue(a)	а
After enqueue(b) enqueue(c) enqueue(d)	a b c d
After dequeue()	b c d
After enqueue(e) enqueue(f)	b c d e f
After dequeue()	c d e f

- Item dequeued, or served next, is always the item that has been waiting the longest
- Most queues in computer science involve scheduling access to shared resources.
 - CPU access : Processes are queued for access to a shared CPU
 - **Printer access :** Print jobs are queued for access to a shared laser printer.

Similar to stack, we can use a Python list to emulate a queue, use list method **append** to add an element to rear of queue and **pop** to remove an element from front of queue. However, the extra list operations violate the spirit of a queue as an ADT.

Instead, we define a more restricted interface or set of operations for any authentic queue implementation. We assume that any queue class that implements this interface will also have a constructor that allows its user to create a new queue instance. Later, we'll consider two different implementations: **ArrayQueue** and **LinkedQueue**. For now, assume that someone has coded these so we can use them:

q1 = ArrayQueue()
q2 = LinkedQueue()

QUEUE METHOD	WHAT IT DOES	
q.enqueue(item)	Inserts item at the rear of the queue.	
q.dequeue()	Removes and returns the item at the front of the queue. <i>Precondition</i> : The queue must not be empty; an error is raised if that is not the case.	
q.peek()	Returns the item at the front of the queue. <i>Precondition</i> : The queue must not be empty; an error is raised if that is not the case.	
q.isEmpty()	Returns True if the queue is empty, or False otherwise.	
qlen()	Same as len(q) . Returns the number of items currently in the queue.	
qstr()	Same as str(q) . Returns the string representation of the queue.	

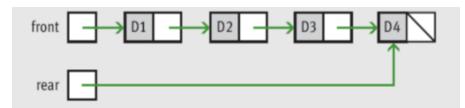
10.5.1 Queue Interface

The following shows how the operations listed above affect a queue named \mathbf{q} .

OPERATION	STATE OF THE QUEUE AFTER THE OPERATION	VALUE RETURNED	COMMENT
			Initially, the queue is empty
q.enqueue (a)	a		The queue contains the single item a .
q.enqueue (b)	a b		a is at the front of the queue and b is at the rear.
q.enqueue (c)	abc		c is added at the rear.
q.isEmpty()	abc	False	The queue is not empty.
len (q)	abc	3	The queue contains three items.
q.peek()	abc	a	Returns the front item on the queue without removing it.
q.dequeue ()	b c	a	Remove the front item from the queue and return it. b is now the front item.
q.dequeue ()	c	b	Remove and return b .
q.dequeue ()		c	Remove and return c .
q.isEmpty()		True	The queue is empty.
q.peek()		exception	Peeking at an empty queue throws an exception.
q.dequeue ()		exception	Trying to dequeue an empty queue throws an exception.
q.enqueue (d)	d		d is the front item.

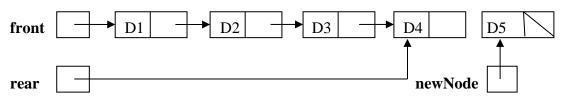
10.5.2 Queue Implementation using Linked Structure

- Enqueue adds a node at the end
 - For fast access to both ends of a queue's linked structure, provide external pointers to both ends

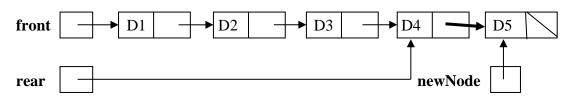


- Instance variables **front** and **rear** of **LinkedQueue** are given an initial value of **None**
- A variable named size tracks number of elements currently in queue
- During an **enqueue** operations, we create a new node, set the **next** pointer of the last node to the new node, and finally set the variable **rear** to the new node as shown below:

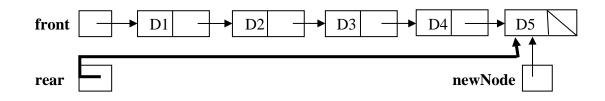
Step 1: Get a new node



Step 2: Set rear.next to the new node



Step 3: Set **rear** to the new node



Here is the code for the **enqueue** method:

```
def enqueue (self, newItem):
    """Adds newItem to the rear of queue."""
    newNode = Node(newItem, None)
    if self.isEmpty():
        self._front = newNode
    else:
        self._rear.next = newNode
    self._rear = newNode
    self._rear = 1
```

• **Dequeue** is similar to **pop** in that it removes the first node in the sequence. However, if the queue becomes empty after a **dequeue** operation, the **front** and **rear** pointers must both be set to **None**. Here is the code for the **dequeue** method:

```
def dequeue (self):
    """Removes and returns the item at front of the queue.
    Precondition: the queue is not empty."""
    if self.isEmpty():
        print ("Queue is empty. Abort operation!!")
        return ""
    else:
        oldItem = self._front.data
        self._front = self._front.next
        if self._front == None:
            self._rear = None
        self._size -= 1
        return oldItem
```

Here is the complete code for **LinkedQueue**:

```
from node import Node
class LinkedQueue:
    """ Link-based queue implementation."""
    def init (self):
        self. front = None
        self._rear = None
        self. size = 0
    def enqueue (self, newItem):
        """Adds newItem to the rear of queue."""
        newNode = Node(newItem, None)
        if self.isEmpty():
             self. front = newNode
        else:
             self. rear.next = newNode
        self. rear = newNode
        self. size += 1
```

```
def dequeue (self):
    """Removes and returns the item at front of the queue.
    Precondition: the queue is not empty."""
    if self.isEmpty():
         print ("Queue is empty. Abort operation!!")
         return ""
    else:
         oldItem = self. front.data
         self. front = self. front.next
         if self. front == None:
             self. rear = None
         self. size -= 1
         return oldItem
 def peek (self):
         """Returns the item at front of the gueue.
         Precondition: the queue is not empty."""
         if self.isEmpty():
             print ("Queue is empty. Abort operation!!")
              return ""
         else:
              return self. front.data
     len (self):
def
    """Returns the number of items in the queue."""
    return self. size
def isEmpty(self):
   return len(self) == 0
def str (self):
    """Items strung from front to rear."""
    result = ""
    probe = self._front
    while probe != None:
         result += str(probe.data) + " "
        probe = probe.next
    return result
```

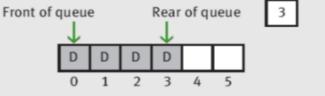
10.5.3 Queue Implementation using Array

Array implementations of stacks and queues have less in common than the linked implementations. Array implementation of a queue must access items at the logical beginning and the logical end.

- Doing this in computationally effective manner is complex
- We approach problem in a sequence of three attempts

A First Attempt

- Fixes front of queue at position 0
- rear variable points to last item at position n-1, where n is the number of items in queue



• For this implementation, the **enqueue** operation is efficient. However, the **dequeue** operation entails shifting all but the first item in the array to the left.

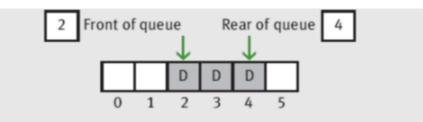
Here is the complete code for **ArrayQueue**:

```
class ArrayQueue:
    """ Array-based queue implementation."""
   DEFAULT CAPACITY = 10 # Class variable applies to all queues
         init (self):
   def
        self. items = [''] * ArrayQueue.DEFAULT CAPACITY
        self. rear = -1
        self. size = 0
   def enqueue(self, newItem):
        """Adds newItem to the rear of queue.
        Precondition: the queue is not full."""
            self. size == ArrayQueue.DEFAULT CAPACITY:
        if
            print ("Queue is full. Abort operation!!")
            return ""
        else:
            # newItem goes at logical end of array
            self. rear += 1
            self. size += 1
            self. items[self. rear] = newItem
   def dequeue(self):
        """Removes and returns the item at front of the queue.
        Precondition: the queue is not empty."""
        if self.isEmpty():
            print ("Queue is empty. Abort operation!!")
            return ""
        else:
            oldItem = self._items[0]
            for i in range(len(self) - 1):
                self. items[i] = self. items[i + 1]
            self. rear -= 1
            self. size -= 1
        return oldItem
```

```
def peek(self):
    """Returns the item at front of the queue.
    Precondition: the queue is not empty."""
    if self.isEmpty():
       print ("Queue is empty. Abort operation!!")
        return ""
    else:
       return self. items[0]
def
      len (self):
    ""Returns the number of items in the queue.""
    return self. size
def isEmpty(self):
    return len(self) == 0
def
      str (self):
    """Items strung from front to rear."""
    result = ""
    for i in range(len(self)):
        result += str(self. items[i]) + " "
    return result
```

A Second Attempt

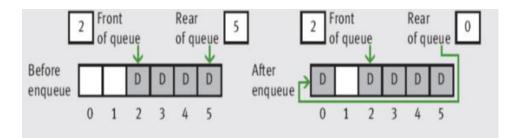
- Maintain a second index (front) that points to item at front of queue
 - Starts at 0 and advances as items are dequeued



• For this implementation, cells to the left of the queue's front pointer are unused until we shift all elements left, which we do whenever the rear pointer is about to run off the end.

A Third Attempt

- Use a circular array implementation
 - **rear** starts at -1; **front** starts at 0
 - **front** chases **rear** pointer through the array
 - When a pointer is about to run off the end of the array, it is reset to 0
 - This has the effect of wrapping the queue around to the beginning of the array without the cost of moving any items.



- How the implementation can detect when the queue becomes full?
 - Maintain a count of the items in the queue
 - When this count equals the size of the array, the queue is full

Here is the complete code for **circular ArrayQueue**:

```
class ArrayQueue:
    """ Array-based queue implementation (circular queue)"""
    DEFAULT CAPACITY = 10 # Class variable applies to all queues
   def init (self):
        self._items = [''] * ArrayQueue.DEFAULT_CAPACITY
        self. rear = -1
        self. front = 0
        self. size = 0
   def enqueue(self, newIem):
        """Adds newItem to the rear of queue.
        Precondition: the queue is not full."""
            self. size == ArrayQueue.DEFAULT CAPACITY:
        if
            print ("Queue is full. Abort operation!!")
            return ""
        else:
             # end of array?
             if self. rear == ArrayQueue.DEFAULT CAPACITY
1:
                  self. rear = 0
             else:
                  self. rear += 1
            self. items[self. rear] = newItem
            self. size += 1
         dequeue(self):
    def
        """Removes and returns the item at front of the queue.
        Precondition: the queue is not empty."""
        if self.isEmpty():
            print ("Queue is empty. Abort operation!!")
            return ""
```

```
else:
        oldItem = self._items[self._front]
        # end of array?
            self. front == ArrayQueue.DEFAULT CAPACITY - 1:
        if
            self. front = 0
        else:
            self. front += 1
        self. size -= 1
        return oldItem
def peek(self):
    """Returns the item at front of the queue.
    Precondition: the queue is not empty."""
    if self.isEmpty():
        print ("Queue is empty. Abort operation!!")
        return ""
    else:
        return self. items[self. front]
def __len__(self):
    """Returns the number of items in the queue."""
    return self. size
def isEmpty(self):
    return len(self) == 0
     __str__(self):
def
    """Items strung from front to rear."""
    result = ""
    front = self. front
    for i in range(self. size):
        result += str(self. items[front]) + " "
        if front == ArrayQueue.DEFAULT CAPACITY - 1:
            front = 0
        else:
           front += 1
    return result
```

Tutorial 10C

- 1. Define a function named **stackToQueue**. This function expects a stack as an argument. The function builds and returns an instance of **LinkedQueue** that contains the elements in the stack. The function assumes that the stack has the interface described in the previous stack section. The function's postconditions are that the stack is left in the same state as it was before the function was called, and that the queue's front element is the one at the top of the stack.
- 2. Write a code segment that uses the % operator during an **enqueue** to adjust the rear index of the circular array implementation of **ArrayQueue**, so as to avoid the use of an **if** statement. You may assume that the queue implementation uses the variables **self._rear** and **self._items** to refer to the rear index and array, respectively.
- 3. A queue is held in an array of records with elements **q[1]** to **q[n]**. The queue can contain between zero and **n** items. Write down, using pseudocode, the operations needed
 - (a) When an item is added to the queue;
 - (b) When an item is taken from the queue.

Your answer should cope appropriately with the errors of adding an item to a full queue and taking an item from an empty queue.

[6]

- 4. One method of implementing a queue is by means of a linked list.
 - (a) Draw a diagram to show how a queue can be implemented by means of a linked list.
 - (b) Using this representation. Give diagrams and algorithms to show how to
 - (i) add an item to the queue;
 - (ii) remove an item from the queue.

[8]

[2]

10.6 Binary Search Tree

In a linear data structures (e.g. stacks, queues), all items except for the first have a distinct predecessor and all items except the last have a distinct successor. In a tree, the ideas of predecessor and successor are replaced with those of **parent** and **child**.

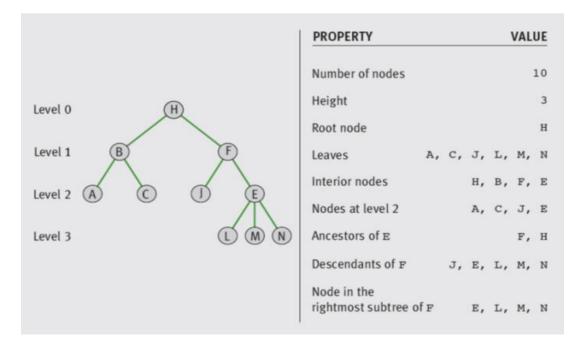
Trees have two main **characteristics**:

- Each item can have multiple children
- All items, except a privileged item called the **root**, have exactly one parent

TERM	DEFINITION
Node	An item stored in a tree.
Root	The topmost node in a tree. It is the only node without a parent.
Child	A node immediately below and directly connected to a given node. A node can have more than one child, and its children are viewed as organized in left-to-right order. The leftmost child is called the first child, and the rightmost is called the last child.
Parent	A node immediately above and directly connected to a given node. A node can have only one parent.
Siblings	The children of a common parent.
Leaf	A node that has no children.

10.6.1 Tree

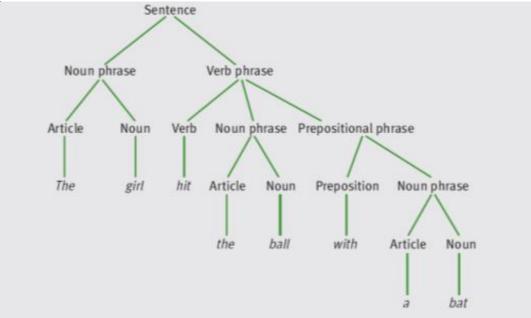
TERM	DEFINITION
Interior node	A node that has at least one child.
Edge/Branch/Link	The line that connects a parent to its child.
Descendant	A node's children, its children's children, and so on, down to the leaves.
Ancestor	A node's parent, its parent's parent, and so on, up to the root.
Path	The sequence of edges that connect a node and one of its descendants.
Path length	The number of edges in a path.
Depth or level	The depth or level of a node equals the length of the path connecting it to the root. Thus, the root depth or level of the root is 0. Its children are at level 1, and so on.
Height	The length of the longest path in the tree; put differently, the maximum level number among leaves in the tree.
Subtree	The tree formed by considering a node and all its descendants.



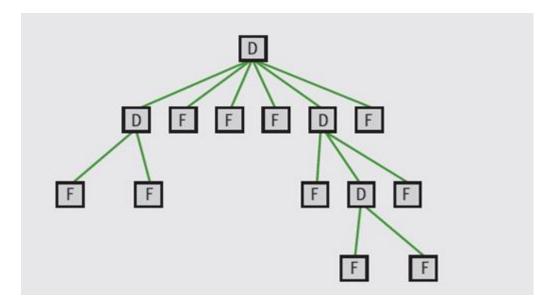
Note: The height of a tree containing one node is 0 By convention, the height of an empty tree is -1

Real-life examples:

A **parse tree** describes the syntactic structure of a particular sentence in terms of its component parts.



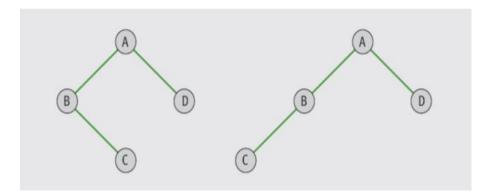
File system structures are also tree-like. The figure below shows one such structure, where the directories are labeled "D" and the files are labeled "F"



10.6.2 Binary Tree

In a **binary tree**, each node has **at most** two children:

• The left child and the right child

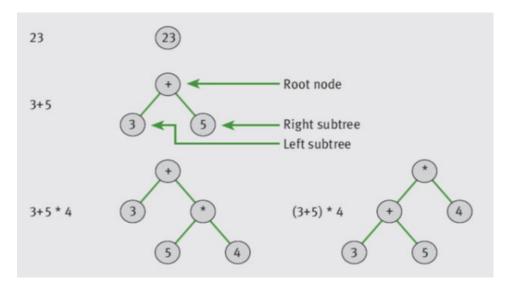


Recursive Definitions of Trees

- A general tree is either empty or consists of a finite set of nodes *T*. One node *r* is distinguished from all others and is called the root. In addition, the set $T \{r\}$ is partitioned into disjoint subsets, each of which is a general tree.
- A **binary tree** is either empty or consists of a root plus a left subtree and a right subtree, each of which are binary trees.

Expression Trees

- Another way to process expressions is to build a parse tree during parsing
- An expression tree is never empty
- An interior node represents a compound expression, consisting of an operator and its operands
- Each leaf node represents a numeric operand
- Operands of higher precedence usually appear near bottom of tree, unless overridden in source expression by parentheses

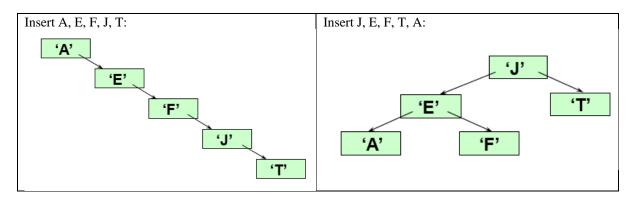


10.6.3 Binary Search Tree

Sorted collections can also be represented as tree-like structures, called a **binary search tree**, or **BST** for short:

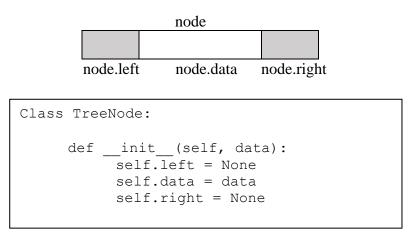
- Each node in the left subtree of a given node is less than that node, and
- Each node in the right subtree of a given node is greater than that node
- Can support logarithmic searches and insertions

The shape of a binary search tree depends on its key values and their order of insertion. For the same elements of letters A, E, F, J, T, the binary search tree depends on the order of insertion.



10.6.4 Recursive Binary Search Trees Operations

Binary search trees can be implemented using left and right pointers at each node.



In general, binary search tree operations can be easily implemented using recursion, which will be discussed next. However, we also need to practice on non-recursive procedures in tutorial.

Counting Number of Nodes in a Binary Search Tree

We can determine the number of nodes in the tree if we know the no. of nodes in the left subtree and the no. of nodes in the right subtree.

```
# Gets the size of the tree, i.e. count the nodes in the tree
def CountNodes(self, tree):
    if tree == None:
        return 0
    else:
        return self.CountNodes(tree.left) +
            self.CountNodes(tree.right)+ 1
```

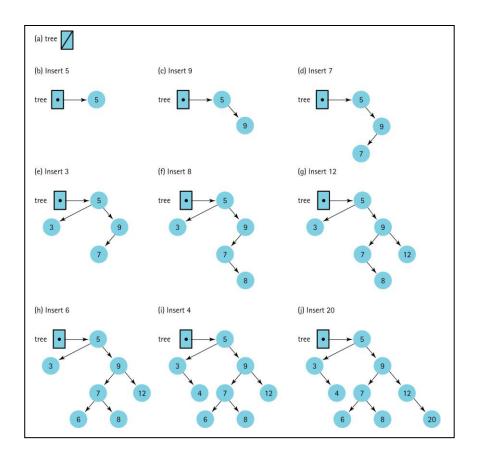
Searching a Binary Search Tree

Search returns True if the target item is in the tree; otherwise, it returns False

٠

Inserting an Item into a Binary Search Tree

- Insert inserts an item into the BST. Item's proper place will be in one of three positions:
 - The root node, if the tree is already empty
 - A node in the current node's left subtree, if new item is less than item in current node
 - A node in the current node's right subtree, if new item is greater than or equal to item in current node
- In all cases, an item is added as a leaf node

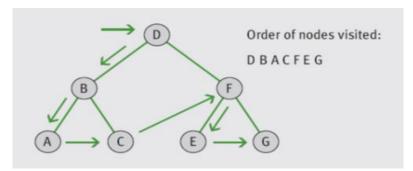


```
def Insert(self, newValue, tree):
                                      # recursive
      if self.root == None:
                               # insert into empty tree
         self.root = TreeNode(newValue)
      else:
         if newValue < tree.data:
            if tree.left == None:
               tree.left = TreeNode(newValue)
            else:
               self.Insert(newValue, tree.left)
         else:
                                # newValue > tree.data
            if tree.right == None:
               tree.right = TreeNode(newValue)
            else:
               self.Insert(newValue, tree.right)
```

Printing All Nodes in a Binary Search Tree

Three standard types of traversals for binary trees:

Preorder traversal: Visits root node, and then traverses left subtree and right subtree in similar way

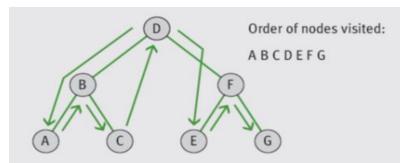


```
# Prints the tree in Preorder

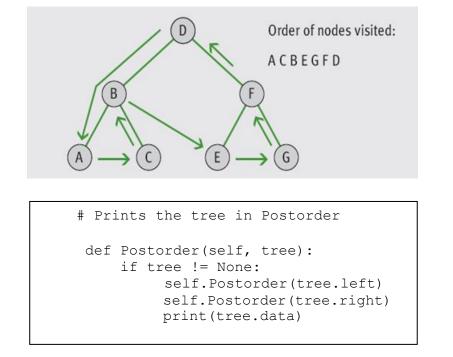
def Preorder(self, tree):
    if tree != None:
        print(tree.data)
        self.Preorder(tree.left)
        self.Preorder(tree.right)
```

Inorder traversal: Traverses left subtree, visits root node, and traverses right subtree

• Appropriate for visiting items in a BST in sorted order



```
# Prints the tree in Inorder (ascending
order)
def Inorder(self, tree):
    if tree != None:
        self.Inorder(tree.left)
        print(tree.data)
        self.Inorder(tree.right)
```

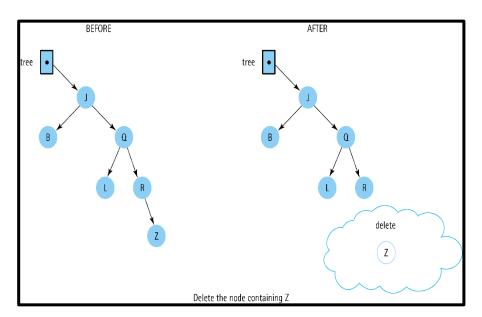


Postorder traversal: Traverses left subtree, traverses right subtree, and visits root node

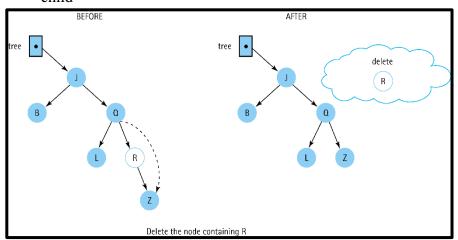
Removing an Item from a Binary Search Tree

The focus here is on the conceptual understanding of how nodes are deleted from binary search tree. Students are not required to write algorithms and programs to delete nodes from binary search tree.

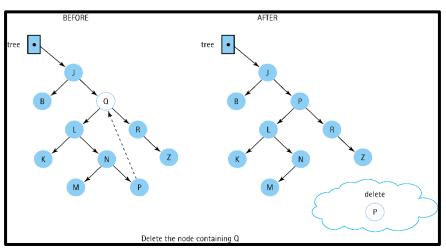
- Case 1: Deleting a Leaf Node
 - Set the parent's reference to the node to be removed to None



- Case 2: Deleting a Node with One Child
 - Set the parent's reference to the node to be removed to the node's only child



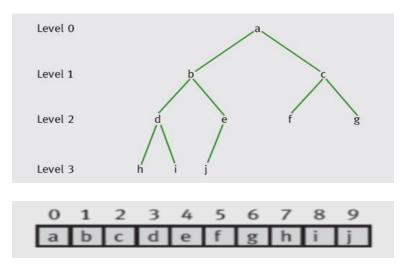
- Case 3: Deleting a Node with Two Children
 - Replace the data value of the node to be removed with the largest value in the left subtree and delete that value's node from the left subtree



```
# Delete the node referenced to by tree
if tree.left and tree.right are None
                                       #
case 1
      set tree to None
else if tree.left is None
                             # case 2
      set tree to tree.right
else if tree.right is None
                             # case 2
      set tree to tree.left
else
                             # case 3
      find predecessor
      set tree.data to predecessor.data
      delete predecessor
```

10.6.5 An Array Implementation of Binary Trees

- An array-based implementation of a binary tree is difficult to define and practical only in some cases
- For binary trees, there is an elegant and efficient array-based representation
 - Elements are stored by level



Given an arbitrary item at position i in the array, it is easy to determine the location of related items as shown below:

ITEM	LOCATION
Parent	(i-1) / 2
Left sibling, if there is one	i-1
Right sibling, if there is one	<i>i</i> + 1
Left child, if there is one	i * 2 + 1
Right child, if there is one	<i>i</i> * 2 + 2

Thus, for item d at location 3, we get the following results:

ITEM	LOCATION
Parent	b at 1
Left sibling, if there is one	Not applicable
Right sibling, if there is one	e at 4
Left child, if there is one	h at 7
Right child, if there is one	i at 8

Tutorial 10D

- 1. Draw the binary search tree whose elements are inserted in the following order: 63, 77, 76, 48, 9, and 10
- 2. Write an iterative algorithm for searching an item in a tree.

def SearchItem (tree, item) :

Function: Searches *item* in tree# Postcondition: If found, returns true; otherwise, returns false

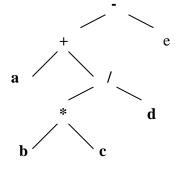
3. Write an iterative algorithm for inserting an item in a tree.

def InsertItem (tree, item) :

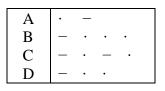
# Function:	Adds <i>item</i> to tree
# Precondition:	<i>item</i> is not in the tree
# Postconditions:	<i>item</i> is in tree
	Binary search property is maintained

- 4. For each sequence of characters, draw the binary search tree and traverse the tree using inorder, preorder, and postorder.
 - (a) M, T, V, F, U, N
 - (b) F, L, O, R, I, D, A
- 5. An arithmetic expression involving the binary operators add (+), subtract (-), multiply (*), and divide (/) can be represented using a **binary expression tree**.

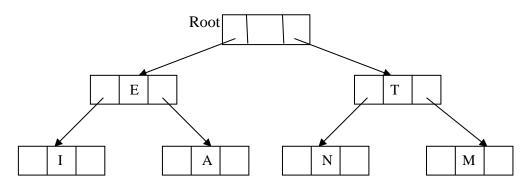
In a binary expression tree, each operator has two children that are either operands or sub-expressions. Leaf nodes contain an operand and non-leaf nodes contain a binary operator. The left and right subtrees of an operator describe a sub-expression that is evaluated and used as one of the operands for the operator. For instance, the expression $\mathbf{a} + \mathbf{b} * \mathbf{c} / \mathbf{d} - \mathbf{e}$ corresponds to the binary expression tree.



- (a) Perform preorder, inorder, and postorder traversals of the binary expression tree. What relationship exists among these scans and the prefix, infix, and postfix (RPN) notation for the expression?
- (b) For each arithmetic expression, draw the corresponding expression tree.By scanning the tree, give the prefix, infix, and postfix form of the expression.
 - (i) a b * c + d (ii) a + b c * d + e
- 6. In Morse code each letter of the alphabet is assigned a unique combination of dots and dashes. For example, the letters A, B, C and D are coded as follows.

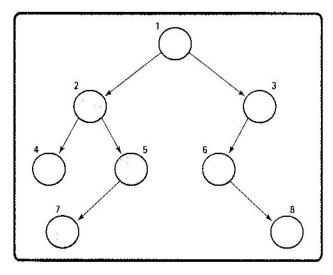


This coding system can be represented in a binary tree as follows. Each node, except for the root node, contains a letter of the alphabet. The position of each letter in the tree is determined by its Morse code. Moving from one node to another down the tree is done by traversing either a left branch or a right branch. If a left branch corresponds to a \cdot and a right branch corresponds to a -, the first three levels of the tree look like this.



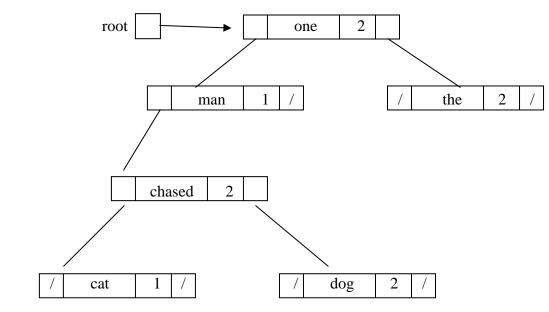
- (a) What are the Morse codes for the letters I and N? Explain how your answers are derived from the tree. [2]
- (b) Draw a diagram of the binary tree which shows clearly the position of the letters D, C and B in the tree. [3]
- (c) Assuming that the complete binary tree for Morse codes exists, describe in detail an algorithm which uses the tree to read the Morse code for a letter and to print the letter. [7]
- (d) Explain why this binary tree representation is not the most suitable data structure for performing English to Morse code conversion. Describe a better alternative, and explain how the Morse code of a letter could be found. [5]

7. Examine the following binary search tree and answer the questions. The numbers on the nodes are *labels*; they are not data values within the nodes.



- (a) If an item is to be inserted whose data value is less than the data value in node 1 but greater than the data value in node 5, where will it be inserted?
- (b) If node 1 is to be deleted, the data value in which node could be used to replace it?
- (c) 4 2 7 5 1 6 8 3 is a traversal of the tree in which order?
- (d) 1 2 4 5 7 3 6 8 is a traversal of the tree in which order?

8. All the words in a piece of text are to be stored in a binary tree. Each node will store a word and the number of times that word has occurred in the text so far. As each new word is read, it is added to the tree. After processing the first ten words of a particular piece of text, the tree looks like this



(a) (i) Explain why the first five words of the text could not have been *the man chased the dog*

- (ii) Show the contents of the tree after the next five words they never chased the rat have been processed.
 [6]
- (b) The following recursive algorithm **printtree** has been suggested as a way of writing all the words in the text in alphabetical order.

if tree is not empty thenWrite out the word stored in the current nodeWrite out the right sub-tree using printtreeWrite out the left sub-tree using printtreeifend

Unfortunately, **printtree** does not write out the words in the required order.

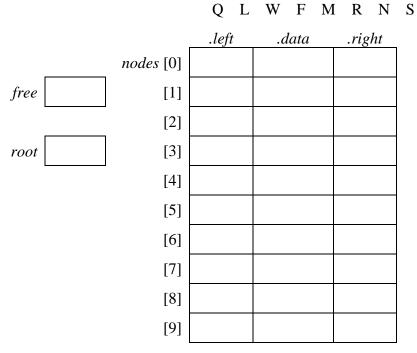
- (i) Write down the order in which the words from the above tree would be printed by **printtree**.
- (ii) Explain how to rewrite **printtree** so that the words are printed in alphabetical order.

[5]

(c) If, after building a complete tree, all the words had to be printed in order of decreasing frequency, describe briefly how this could be accomplished efficiently. [5]

Hwa Chong Institution

- 9. We have learnt how to store a linked list in an array of nodes using index values (array index) as "pointers" and managing our list of free nodes. We can use these same techniques to store the nodes of a binary search tree in an array, rather than using dynamic storage allocation. Free space is linked through the *left* member.
 - (a) Show how the array would look after these elements has been inserted in this order:



(b) Show the contents of the array after "B" has been inserted and "R" has been deleted.

