## Algorithmic Complexity

Lesson 2

## Today

- Measuring orders of growth of algorithms
- Big "Oh" notation
- Complexity classes

#### WANT TO UNDERSTAND EFFICIENCY OF PROGRAMS

- computers are fast and getting faster so maybe efficient programs don't matter?
  - but data sets can be very large (e.g., in 2014, Google served 30,000,000,000 pages, covering 100,000,000 GB – how long to search brute force?)
  - thus, simple solutions may simply not scale with size in acceptable manner
- how can we decide which option for program is most efficient?
- separate time and space efficiency of a program
- tradeoff between them:
  - can sometimes pre-compute results are stored; then use "lookup" to retrieve (e.g., memoization for Fibonacci)
  - will focus on time efficiency

## WANT TO UNDERSTAND EFFICIENCY OF PROGRAMS

Challenges in understanding efficiency of solution to a computational problem:

a program can be implemented in many different ways

you can solve a problem using only a handful of different algorithms

would like to separate choices of implementation from choices of more abstract algorithm

## HOW TO EVALUATE **EFFICIENCY OF PROGRAMS**

wine a provincing and in solving a the inherent difficulty in solving a the inherent

problem

- measure with a timer
- count the operations

will argue that this is the most appropriate way of assessing the abstract notion of order of growth appropriate way of algorithm in appact of choices of algorithm in the second se IN Pace of CHORES OF ABOUTTING MEASURING SOlving a problem; and in measuring

## TIMING A PROGRAM

- use time module
- recall that importing means to bring in that class into your own file

import time

def c\_to\_f(c):
 return c\*9/5 + 32

start clock \_\_\_\_\_\_\_ t0 = time.clock()
call function \_\_\_\_\_\_ c\_to\_f(100000)
t1 = time.clock() - t0
stop clock \_\_\_\_\_\_ t1 = time.clock() - t0
Print("t =", t, ":", t1, "s,")

#### TIMING PROGRAMS IS INCONSISTENT

- GOAL: to evaluate different algorithms
- running time varies between algorithms
- running time varies between implementations
- running time varies between computers
- running time is not predictable based on small inputs
- time varies for different inputs but cannot really express a relationship between inputs and time



Х

X

## COUNTING OPERATIONS

- assume these steps take constant time:
  - mathematical operations
  - comparisons
  - assignments
  - accessing objects in memory `
- then count the number of operations executed as function of size of input

def c to f(c): return c\*9.0/5 + 323005 mysum(x): def total = 209 in range(x+1): for i 10002 total += i <u>40 r</u> return total

mysum  $\rightarrow$  1+3x ops

# COUNTING OPERATIONS IS BETTER, BUT STILL...

- GOAL: to evaluate different algorithms
- count depends on algorithm
- count depends on implementations
- count independent of computers
- no clear definition of which operations to count X

 count varies for different inputs and can come up with a relationship between inputs and the count



## STILL NEED A BETTER WAY

- timing and counting evaluate implementations
- timing evaluates machines

- want to evaluate algorithm
- want to evaluate scalability
- want to evaluate in terms of input size

## STILL NEED A BETTER WAY

- Going to focus on idea of counting operations in an algorithm, but not worry about small variations in implementation (e.g., whether we take 3 or 4 primitive operations to execute the steps of a loop)
- Going to focus on how algorithm performs when size of problem gets arbitrarily large
- Want to relate time needed to complete a computation, measured this way, against the size of the input to the problem
- Need to decide what to measure, given that actual number of steps may depend on specifics of trial

## NEED TO CHOOSE WHICH INPUT TO USE TO EVALUATE A FUNCTION

- want to express efficiency in terms of size of input, so need to decide what your input is
- could be an integer
  - --mysum(x)
- could be length of list
  - --list\_sum(L)
- you decide when multiple parameters to a function -- search\_for\_elmt(L, e)

#### DIFFERENT INPUTS CHANGE HOW THE PROGRAM RUNS

- a function that searches for an element in a list def search\_for\_elmt(L, e): for i in L: if i == e: return True return False
- when e is first element in the list -> BEST CASE
- when e is **not in list**  $\rightarrow$  WORST CASE
- want to measure this behavior in a general way

## BEST, AVERAGE, WORST CASES

- suppose you are given a list L of some length len(L)
- best case: minimum running time over all possible inputs of a given size, len(L)
  - constant for search for elmt
  - first element in any list
- average case: average running time over all possible inputs in of a given size, len (L)
   practical measure

• worst case: maximum running time over all possible inputs of a given size, len(L)

- linear in length of list for search for elmt
- must search entire list and not find it

## ORDERS OF GROWTH

Goals:

- want to evaluate program's efficiency when input is very big
- want to express the growth of program's run time as input size grows
- want to put an upper bound on growth as tight as possible
- do not need to be precise: "order of" not "exact" growth
- we will look at largest factors in run time (which section of the program will take the longest to run?)
- thus, generally we want tight upper bound on growth, as function of size of input, in worst case

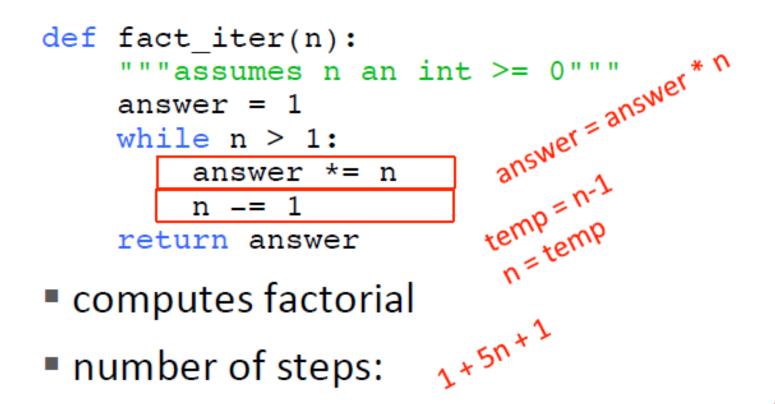
#### stretch . . .

## MEASURING ORDER OF GROWTH: BIG OH NOTATION

Big Oh notation measures an upper bound on the asymptotic growth, often called order of growth

- Big Oh or O() is used to describe worst case
  - worst case occurs often and is the bottleneck when a program runs
  - express rate of growth of program relative to the input size
  - evaluate algorithm NOT machine or implementation

## EXACT STEPS vs O()



- worst case asymptotic complexity: o(n)
  - ignore additive constants
  - ignore multiplicative constants

## WHAT DOES *O(N)* MEASURE?

- Interested in describing how amount of time needed grows as size of (input to) problem grows
- Thus, given an expression for the number of operations needed to compute an algorithm, want to know asymptotic behavior as size of problem gets large
- Hence, will focus on term that grows most rapidly in a sum of terms
- And will ignore multiplicative constants, since want to know how rapidly time required increases as increase size of input

## SIMPLIFICATION EXAMPLES

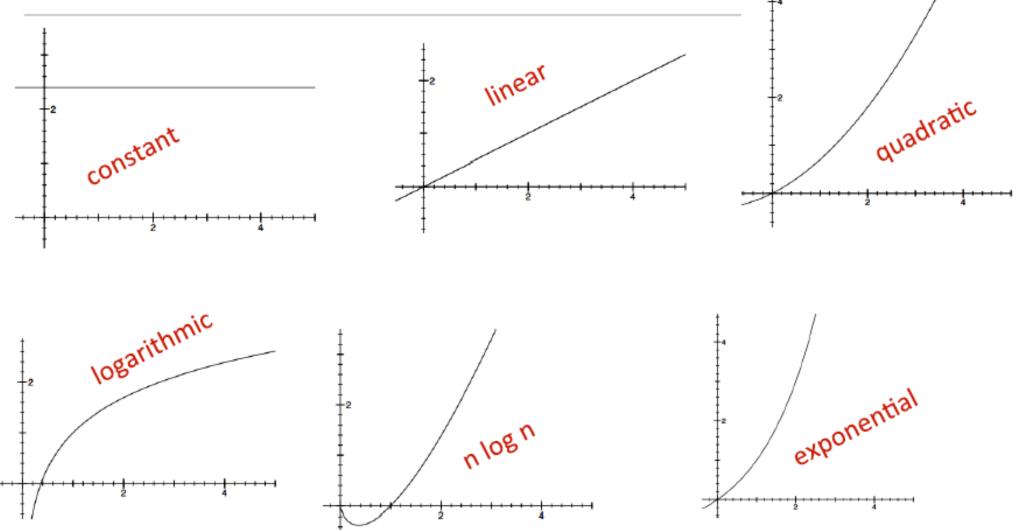
- drop constants and multiplicative factors
- focus on dominant terms

$$O(n^2)$$
 :  $n^2 + 2n + 2$ 

 $O(n^2)$ :  $n^2$  + 100000n + 3<sup>1000</sup>

- o(n) : log(n) + n + 4
- O(n log n) : 0.0001\*n\*log(n) + 300n
  - $O(3^n)$  :  $2n^{30} + 3^n$

#### TYPES OF ORDERS OF GROWTH



#### ANALYZING PROGRAMS AND THEIR COMPLEXITY

- combine complexity classes
  - analyze statements inside functions
  - apply some rules, focus on dominant term

#### Law of Addition for O():

- used with sequential statements
- O(f(n)) + O(g(n)) is O( f(n) + g(n) )
- for example,

#### ANALYZING PROGRAMS AND THEIR COMPLEXITY

- combine complexity classes
  - analyze statements inside functions
  - apply some rules, focus on dominant term

#### Law of Multiplication for O():

- used with nested statements/loops
- O(f(n)) \* O(g(n)) is O(f(n) \* g(n))
- for example,

```
for i in range(n):
```

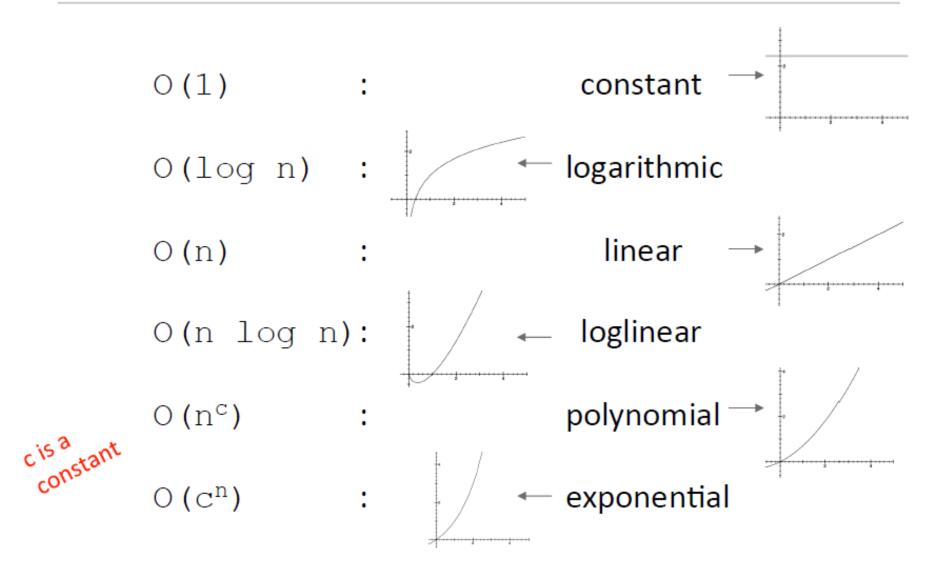
for j in range(n): for j in range(n):print('a') $O(n) = O(n*n) = O(n^{2})!$ is  $O(n)^*O(n) = O(n^*n) = O(n^2)$  because the outer loop goes n times and the inner loop goes n times for every outer loop iter.

#### stretch . . .

## COMPLEXITY CLASSES

- O(1) denotes constant running time
- O(log n) denotes logarithmic running time
- O(n) denotes linear running time
- O(n log n) denotes log-linear running time
- O(n<sup>c</sup>) denotes polynomial running time (c is a constant)
- O(c<sup>n</sup>) denotes exponential running time (c is a constant being raised to a power based on size of input)

#### COMPLEXITY CLASSES ORDERED LOW TO HIGH



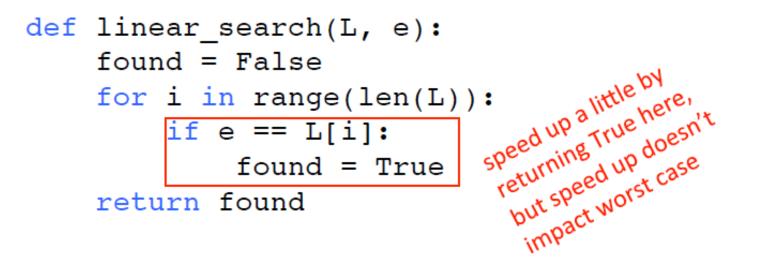
#### COMPLEXITY GROWTH

	CLASS	n=10	= 100	= 1000	= 1000000
	O(1)	1	1	1	1
	O(log n)	1	2	3	6
	O(n)	10	100	1000	1000000
	O(n log n)	10	200	3000	6000000
	O(n^2)	100	10000	1000000	100000000000
	O(2^n)	1024	12676506 00228229 40149670 3205376	1071508607186267320948425049060 0018105614048117055336074437503 8837035105112493612249319837881 5695858127594672917553146825187 1452856923140435984577574698574 8039345677748242309854210746050 6237114187795418215304647498358 1941267398767559165543946077062 9145711964776865421676604298316 52624386837205668069376	Good luck!!

## LINEAR COMPLEXITY

 Simple iterative loop algorithms are typically linear in complexity

#### LINEAR SEARCH ON UNSORTED LIST



must look through all elements to decide it's not there

of list in constant

time

- O(len(L)) for the loop \* O(1) to test if e == L[i] retrieve eleme  $\circ O(1 + 4n + 1) = O(4n + 2) = O(n)$
- overall complexity is O(n) where n is len(L)

#### LINEAR SEARCH ON SORTED LIST

```
def search(L, e):
    for i in range(len(L)):
        if L[i] == e:
            return True
        if L[i] > e:
            return False
    return False
```

- Worst case will need to look at whole list must only look until reach a number greater than e
- O(len(L)) for the loop \* O(1) to test if e == L[i]
- overall complexity is O(n) where n is len(L)
- NOTE: order of growth is same, though run time may differ for two search methods

## LINEAR COMPLEXITY

- searching a list in sequence to see if an element is present
- add characters of a string, assumed to be composed of decimal digits

```
def addDigits(s):
    val = 0
    for c in s:
        val += int(c)
    return val
```

## LINEAR COMPLEXITY

complexity often depends on number of iterations

```
def fact_iter(n):
```

```
prod = 1
```

```
for i in range(1, n+1):
```

```
prod *= i
```

```
return prod
```

number of times around loop is n

number of operations inside loop is a constant (in this case, 3 – set i, multiply, set prod)
 O(1 + 3n + 1) = O(3n + 2) = O(n)

overall just O(n)

# NESTED LOOPS

- simple loops are linear in complexity
- what about loops that have loops within them?

## QUADRATIC COMPLEXITY

determine if one list is subset of second, i.e., every element of first, appears in second (assume no duplicates)

```
def isSubset(L1, L2):
    for el in Ll:
        matched = False
        for e2 in L2:
            if e1 == e2:
                 matched = True
                 break
        if not matched:
            return False
    return True
```

### QUADRATIC COMPLEXITY

```
def isSubset(L1, L2):
    for el in Ll:
        matched = False
        for e2 in L2:
            if e1 == e2:
                matched = True
                break
        if not matched:
            return False
    return True
```

outer loop executed len(L1) times

each iteration will execute inner loop up to len(L2) times, with constant number of operations

*O(len(L1)\*len(L2))* 

worst case when L1 and L2 same length, none of elements of L1 in L2

 $O(len(L1)^2)$ 

#### QUADRATIC COMPLEXITY

```
find intersection of two lists, return a list with each element
appearing only once
def intersect(L1, L2):
    tmp = []
    for e1 in L1:
         for e2 in L2:
              if e1 == e2:
                  tmp.append(e1)
    res = []
    for e in tmp:
         if not(e in res):
              res.append(e)
    return res
```

## QUADRATIC COMPLEXITY

```
def intersect(L1, L2):
    tmp = []
    for el in Ll:
        for e2 in L2:
            if e1 == e2:
                tmp.append(e1)
    res = []
    for e in tmp:
        if not(e in res):
            res.append(e)
    return res
```

first nested loop takes *len(L1)\*len(L2)* steps

second loop takes at most *len(L1)* steps

determining if element in list might take *len(L1)* steps

if we assume lists are of roughly same length, then

O(len(L1)^2)

## O() FOR NESTED LOOPS

```
def g(n):
    """ assume n >= 0 """
    x = 0
    for i in range(n):
        for j in range(n):
            x += 1
    return x
```

- computes n<sup>2</sup> very inefficiently
- when dealing with nested loops, look at the ranges
- nested loops, each iterating n times
- O(n<sup>2</sup>)

## CONSTANT COMPLEXITY

complexity independent of inputs

- very few interesting algorithms in this class, but can often have pieces that fit this class
- can have loops or recursive calls, but ONLY IF number of iterations or calls independent of size of input

## LOGARITHMIC COMPLEXITY

- complexity grows as log of size of one of its inputs
- example:
  - bisection search
  - binary search of a list

### **BISECTION SEARCH**

- suppose we want to know if a particular element is present in a list
- saw last time that we could just "walk down" the list, checking each element
- complexity was linear in length of the list
- suppose we know that the list is ordered from smallest to largest
  - saw that sequential search was still linear in complexity
  - o can we do better?

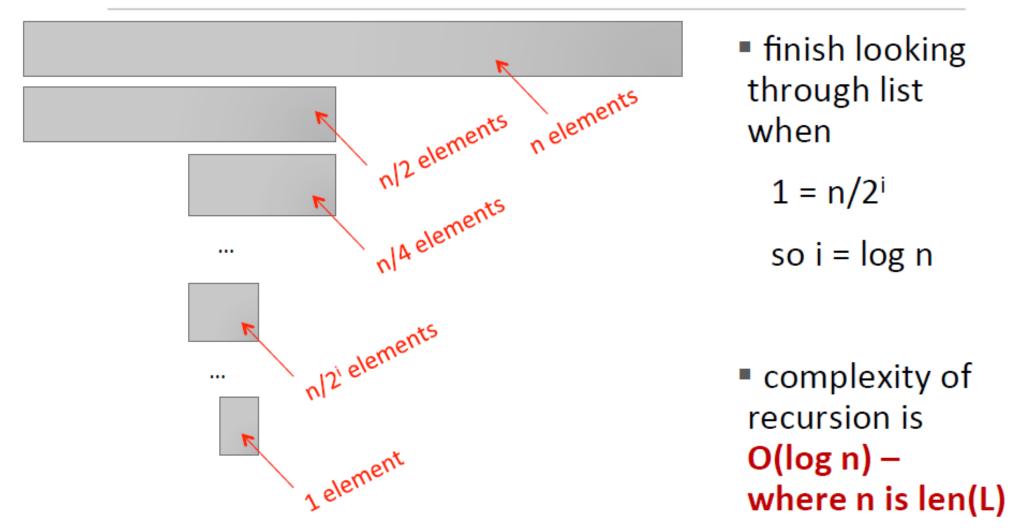
## **BISECTION SEARCH**

- 1. pick an index, *i*, that divides list in half
- 2. ask if L[i] == e
- 3. if not, ask if L[i] is larger or smaller than e
- 4. depending on answer, search left or right half of  $\ L$  for e

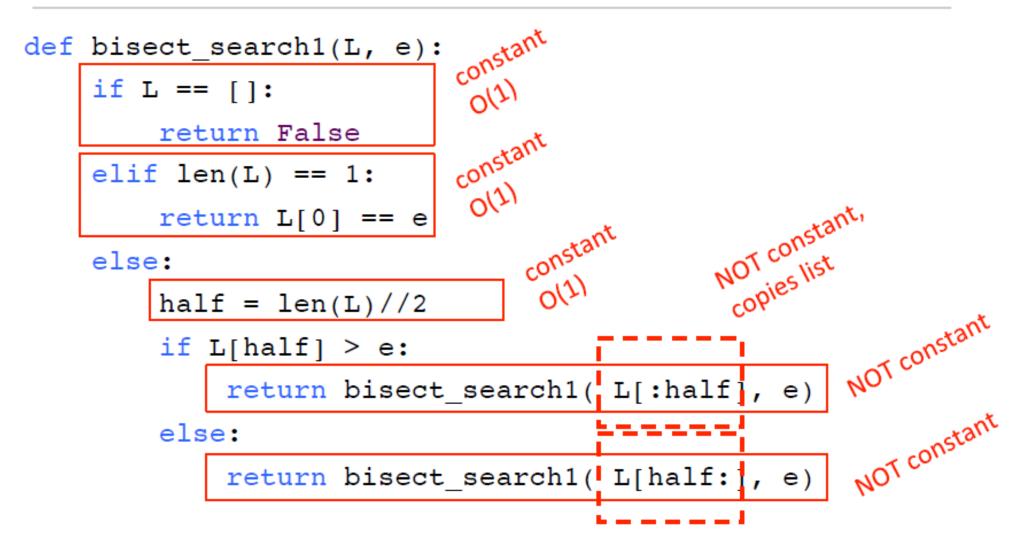
A new version of a divide-and-conquer algorithm

- break into smaller version of problem (smaller list), plus some simple operations
- answer to smaller version is answer to original problem

#### BISECTION SEARCH COMPLEXITY ANALYSIS



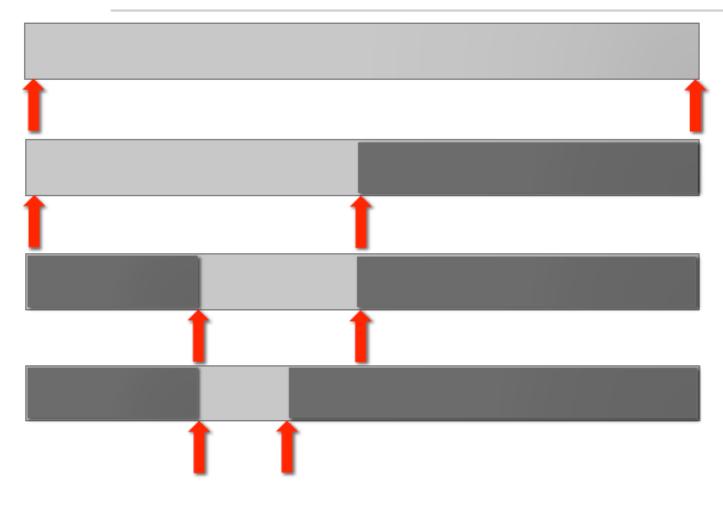
#### BISECTION SEARCH IMPLEMENTATION 1



#### COMPLEXITY OF FIRST BISECTION SEARCH METHOD

- implementation 1 bisect\_search1
  - O(log n) bisection search calls
    - On each recursive call, size of range to be searched is cut in half
    - If original range is of size n, in worst case down to range of size 1 when n/(2<sup>k</sup>) = 1; or when k = log n
  - O(n) for each bisection search call to copy list
    - This is the cost to set up each call, so do this for each level of recursion
  - $O(\log n) * O(n) \rightarrow O(n \log n)$
  - if we are really careful, note that length of list to be copied is also halved on each recursive call
    - turns out that total cost to copy is O(n) and this dominates the log n cost due to the recursive calls

#### BISECTION SEARCH ALTERNATIVE



- still reduce size of problem by factor of two on each step
- but just keep track of low and high portion of list to be searched
- avoid copying the list

 complexity of recursion is again
 O(log n) – where n
 is len(L)

#### BISECTION SEARCH IMPLEMENTATION 2

```
def bisect search2(L, e):
    def bisect search helper(L, e, low, high):
        if high == low:
            return L[low] == e
        mid = (low + high)//2
        if L[mid] == e:
            return True
                                                         constant other
                                                          than recursive call
        elif L[mid] > e:
            if low == mid: #nothing left to search
                 return False
            else:
                 return bisect search helper(L, e, low, mid - 1)
        else:
                                                        constant other
than recursive call
            return bisect_search_helper(L, e, mid + 1, high)
    if len(L) == 0:
        return False
    else:
        return bisect search helper(L, e, 0, len(L) - 1)
```

## COMPLEXITY OF SECOND BISECTION SEARCH METHOD

- implementation 2 bisect\_search2 and its helper
  - O(log n) bisection search calls
    - On each recursive call, size of range to be searched is cut in half
    - If original range is of size n, in worst case down to range of size 1 when n/(2<sup>k</sup>) = 1; or when k = log n
  - pass list and indices as parameters
  - list never copied, just re-passed as a pointer
  - thus O(1) work on each recursive call
  - O(log n) \* O(1) → O(log n)

### LOGARITHMIC COMPLEXITY

```
def intToStr(i):
    digits = '0123456789'
    if i == 0:
        return '0'
    result = ''
    while i > 0:
        result = digits[i%10] + result
        i = i//10
    return result
```

## LOGARITHMIC COMPLEXITY

```
only have to look at loop as
def intToStr(i):
                                       no function calls
    digits = '0123456789'
    if i == 0:
                                       within while loop, constant
         return '0'
                                       number of steps
    res = ''
    while i > 0:
                                       how many times through
         res = digits[i%10] + res
                                       loop?
         i = i//10

    how many times can one

    return result
                                         divide i by 10?
```

• O(log(i))

# O() FOR ITERATIVE FACTORIAL

complexity can depend on number of iterative calls

```
def fact_iter(n):
    prod = 1
    for i in range(1, n+1):
        prod *= i
    return prod
```

overall O(n) – n times round loop, constant cost each time

#### O() FOR RECURSIVE FACTORIAL

```
def fact_recur(n):
    """ assume n >= 0 """
    if n <= 1:
        return 1
    else:
        return n*fact_recur(n - 1)</pre>
```

- computes factorial recursively
- if you time it, may notice that it runs a bit slower than iterative version due to function calls
- still O(n) because the number of function calls is linear in n, and constant effort to set up call
- iterative and recursive factorial implementations are the same order of growth

## LOG-LINEAR COMPLEITY

- many practical algorithms are log-linear
- very commonly used log-linear algorithm is merge sort

## POLYNOMIAL COMPLEXITY

- most common polynomial algorithms are quadratic, i.e., complexity grows with square of size of input
- commonly occurs when we have nested loops or recursive function calls

- recursive functions where more than one recursive call for each size of problem
  - Towers of Hanoi
- many important problems are inherently exponential
   unfortunate, as cost can be high
  - will lead us to consider approximate solutions as may provide reasonable answer more quickly

### COMPLEXITY OF TOWERS OF HANOI

- Let t<sub>n</sub> denote time to solve tower of size n
- t<sub>n</sub> = 2t<sub>n-1</sub> + 1
- = 2(2t<sub>n-2</sub> + 1) + 1
- = 4t<sub>n-2</sub> + 2 + 1
- $= 4(2t_{n-3} + 1) + 2 + 1$
- = 8t<sub>n-3</sub> + 4 + 2 + 1
- =  $2^{k} t_{n-k} + 2^{k-1} + ... + 4 + 2 + 1$
- $= 2^{n-1} + 2^{n-2} + \dots + 4 + 2 + 1$
- = 2<sup>n</sup> 1
- so order of growth is O(2<sup>n</sup>)

Geometric growth  $a = 2^{n-1} + \dots + 2 + 1$   $2a = 2^n + 2^{n-1} + \dots + 2$  $a = 2^n - 1$ 

### stretch . . .

- given a set of integers (with no repeats), want to generate the collection of all possible subsets – called the power set
- {1, 2, 3, 4} would generate
   {}, {1}, {2}, {3}, {4}, {1, 2}, {1, 3}, {1, 4}, {2, 3}, {2, 4}, {3, 4}, {1, 2, 3}, {1, 2, 4}, {1, 3, 4}, {2, 3, 4}, {1, 2, 3, 4}
- order doesn't matter

{}, {1}, {2}, {1, 2}, {3}, {1, 3}, {2, 3}, {1, 2, 3}, {4}, {1, 4}, {2, 4}, {1, 2, 4}, {3, 4}, {1, 3, 4}, {2, 3, 4}, {1, 2, 3, 4}

### POWER SET – CONCEPT

- we want to generate the power set of integers from 1 to n
- assume we can generate power set of integers from 1 to n-1
- then all of those subsets belong to bigger power set (choosing not include n); and all of those subsets with n added to each of them also belong to the bigger power set (choosing to include n)

• {} {1} {2}, {1, 2}, {3}, {1, 3}, {2, 3}, {1, 2, 3}, {4}, {1, 4}, {2, 4}, {1, 2, 4}, {4}, {3, 4}, {1, 3, 4}, {2, 3, 4}, {1, 2, 3, 4}

nice recursive description!

```
def genSubsets(L):
    res = []
    if len(L) == 0:
        return [[]] #list of empty list
    smaller = genSubsets(L[:-1]) # all subsets without
last element
    extra = L[-1:] # create a list of just last element
    new = []
    for small in smaller:
        new.append(small+extra) # for all smaller
solutions, add one with last element
    return smaller+new # combine those with last
element and those without
```

```
def genSubsets(L):
    res = []
    if len(L) == 0:
        return [[]]
    smaller = genSubsets(L[:-1])
    extra = L[-1:]
    new = []
    for small in smaller:
        new.append(small+extra)
    return smaller+new
```

assuming append is constant time

time includes time to solve smaller problem, plus time needed to make a copy of all elements in smaller problem

```
def genSubsets(L):
    res = []
    if len(L) == 0:
        return [[]]
    smaller = genSubsets(L[:-1])
    extra = L[-1:]
    new = []
    for small in smaller:
        new.append(small+extra)
    return smaller+new
```

but important to think about size of smaller

know that for a set of size k there are 2<sup>k</sup> cases

how can we deduce overall complexity?

- Iet t<sub>n</sub> denote time to solve problem of size n
- Iet s<sub>n</sub> denote size of solution for problem of size n
- t<sub>n</sub> = t<sub>n-1</sub> + s<sub>n-1</sub> + c (where c is some constant number of operations)

$$t_n = t_{n-1} + 2^{n-1} + c$$

$$= t_{n-2} + 2^{n-2} + c + 2^{n-1} + c$$

$$= t_{n-k} + 2^{n-k} + \dots + 2^{n-1} + kc$$

= 
$$t_0 + 2^0 + ... + 2^{n-1} + nc$$

= 1 + 2<sup>n</sup> + nc

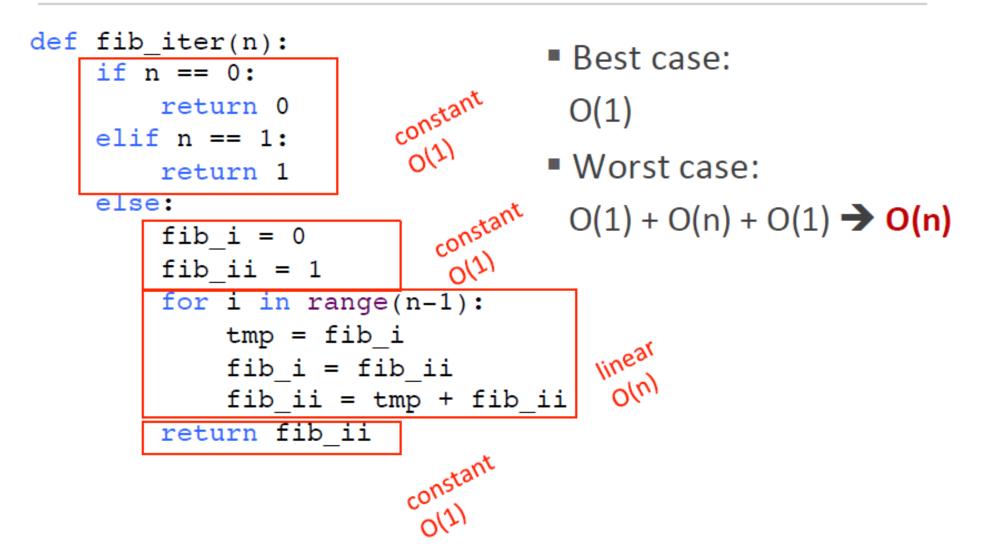
Thus computing power set is **O(2<sup>n</sup>)** 

## COMPLEXITY CLASSES

- O(1) code does not depend on size of problem
- O(log n) reduce problem in half each time through process
- O(n) simple iterative or recursive programs
- O(n log n) will see next time
- O(n<sup>c</sup>) nested loops or recursive calls
- O(c<sup>n</sup>) multiple recursive calls at each level

## SOME MORE EXAMPLES OF ANALYZING COMPLEXITY

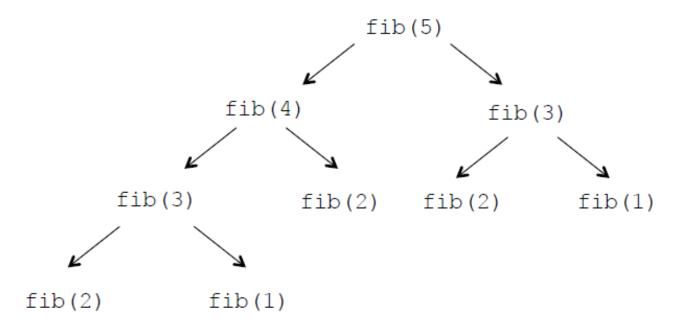
#### COMPLEXITY OF ITERATIVE FIBONACCI



#### COMPLEXITY OF RECURSIVE FIBONACCI

```
def fib_recur(n):
     """ assumes n an int >= 0 """
    if n == 0:
         return 0
    elif n == 1:
         return 1
    else:
         return fib_recur(n-1) + fib_recur(n-2)
                                                        20
Worst case:
                                                        2722
 O(2<sup>n</sup>)
                                                             A 7 22
                                                              ¤'
8≯<sup>2<sup>3</sup></sup>
```

#### COMPLEXITY OF RECURSIVE FIBONACCI



- actually can do a bit better than 2<sup>n</sup> since tree of cases thins out to right
- but complexity is still exponential

### **BIG OH SUMMARY**

- compare efficiency of algorithms
  - notation that describes growth
  - lower order of growth is better
  - independent of machine or specific implementation

- use Big Oh
  - describe order of growth
  - asymptotic notation
  - upper bound
  - worst case analysis

#### COMPLEXITY OF COMMON PYTHON FUNCTIONS

- Lists: n is len(L)
  - index O(1)
  - store O(1)
  - length O(1)
  - append O(1)
  - == O(n)
  - remove O(n)
  - copy O(n)
  - reverse O(n)
  - iteration O(n)
  - in list O(n)

- Dictionaries: n is len(d)
- worst case
  - index O(n)
  - store
     O(n)
  - length O(n)
  - delete O(n)
  - iteration O(n)
- average case
  - index O(1)
  - store O(1)
  - delete O(1)
  - iteration O(n)

## WHY WE WANT TO UNDERSTAND EFFICIENCY OF PROGRAMS

- how can we reason about an algorithm in order to predict the amount of time it will need to solve a problem of a particular size?
- how can we relate choices in algorithm design to the time efficiency of the resulting algorithm?
  - are there fundamental limits on the amount of time we will need to solve a particular problem?

## ORDERS OF GROWTH: RECAP

Goals:

- want to evaluate program's efficiency when input is very big
- want to express the growth of program's run time as input size grows
- want to put an upper bound on growth as tight as possible
- do not need to be precise: "order of" not "exact" growth
- we will look at largest factors in run time (which section of the program will take the longest to run?)

thus, generally we want tight upper bound on growth, as function of size of input, in worst case

#### Exercise

What is the asymptotic complexity of each of the following functions?

```
def g(L, e):
    """L a list of ints, e is an int"""
    for i in range(100):
        for e1 in L:
            if e^1 == e:
                return True
    return False
def h(L, e):
    """L a list of ints, e is an int"""
    for i in range(e):
        for el in L:
            if e^1 == e:
                return True
    return False
```

Explain why?